

1 The rattlesnake W chromosome: A GC-rich retroelement refugium with  
2 retained gene function across ancient evolutionary strata  
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19 sex determination

## 1 Abstract

2 Sex chromosomes diverge after the establishment of recombination suppression, resulting in differential  
3 sex-linkage of genes involved in genetic sex determination and dimorphic traits. This process produces  
4 systems of male or female heterogamety wherein the Y and W chromosomes are only present in one sex  
5 and are often highly degenerated. Sex-limited chromosomes (e.g., Y and W) contain valuable information  
6 about the evolutionary transition from autosomes to sex chromosomes, yet detailed characterizations of  
7 the structure, composition, and gene content of sex-limited chromosomes is lacking for many species. In  
8 this study, we characterize the female-specific W chromosome of the prairie rattlesnake (*Crotalus viridis*)  
9 and evaluate how recombination suppression and other processes have shaped sex chromosome evolution  
10 in ZW snakes. Our analyses indicate that the rattlesnake W chromosome is over 80% repetitive and that  
11 an abundance of GC-rich mdg4 elements has driven an overall high degree of GC-richness despite a lack  
12 of recombination. The W chromosome is also highly enriched for repeat sequences derived from  
13 endogenous retroviruses and likely acts as a ‘refugium’ for these and other retroelements. We annotated  
14 219 putatively functional W-linked genes across at least two evolutionary strata identified based on  
15 estimates of sequence divergence between Z and W gametologs. The youngest of these strata is relatively  
16 gene-rich, however gene expression across strata suggests retained gene function amidst a greater degree  
17 of degeneration following ancient recombination suppression. Functional annotation of W-linked genes  
18 indicates a specialization of the W chromosome for reproductive and developmental function since  
19 recombination suppression from the Z chromosome.

20 **Significance Statement:** We report the first detailed analysis of the female-specific W chromosome in a  
21 snake. Our findings highlight distinctive features of W chromosome structure and function that provide  
22 expanded perspectives on transposable element evolution and the survival of genes related to female  
23 fitness.

24

## 1 Introduction

2 Sex chromosomes evolve from ancestral autosomes following the establishment of sex-determining genes  
3 and the onset of recombination suppression between the newly sex-linked genomic regions (Ohno 1967;  
4 Charlesworth 1996; Bergero and Charlesworth 2009). Over time, the absence of recombination between  
5 the sex chromosomes, i.e., Z and W or X and Y chromosomes in female- and male-heterogametic species,  
6 respectively, often leads to an accumulation of deleterious mutations and degeneration of the sex-limited  
7 chromosomes (Charlesworth and Charlesworth 2000; Charlesworth et al. 2005; Wright et al. 2016;  
8 Abbott et al. 2017). Recombination suppression does not necessarily occur simultaneously across the  
9 entire length of the newly formed sex chromosomes (Lahn and Page 1999; Handley et al. 2004;  
10 Charlesworth et al. 2005; Matsubara et al. 2006; Nam and Ellegren 2008; Vicoso et al. 2013). Instead,  
11 chromosomal regions can experience recombination suppression at various stages during the evolutionary  
12 transition from autosomes to sex chromosomes, leading to the establishment of ‘evolutionary strata’ that  
13 bear the signatures of this step-wise transition.

14 Low effective population size relative to autosomes and the lack of recombination renders selection  
15 against deleterious mutations inefficient on sex-limited chromosomes (e.g., Y or W), often resulting in an  
16 accumulation of repetitive content (Graves 2006; Bachtrog 2013). The accumulation of repeats,  
17 associated decay and loss of coding genes, and the high degree of heterochromatization on sex-limited  
18 chromosomes has promoted the view that they are genetic ‘wastelands’ that lack major functional roles  
19 outside of sex-determination (Bachtrog 2020). However, accumulating evidence from model systems  
20 indicates that sex-limited chromosomes may play prominent additional roles in shaping sex-specific traits  
21 (Piergentili 2010; Larson et al. 2018) and have broad effects on heterochromatin state and gene regulation  
22 across the genome (Jiang et al. 2010; Brown et al. 2020a; Nguyen and Bachtrog 2021). Potential  
23 deleterious regulatory effects of sex-limited chromosome degeneration led to the ‘toxic-Y’ hypothesis in  
24 *Drosophila* (Marais et al. 2018; Brown et al. 2020b; Wei et al. 2020), whereby the high Y-linked  
25 mutational load may correlate with the decay of physiological function and shorter lifespans in males  
26 (Sultanova et al. 2020). As a major component of this mutational load, studies have observed a  
27 disproportionate abundance of full-length and potentially transpositionally-active transposable elements  
28 (TEs) present on the sex-limited chromosomes of both XY and ZW systems (Steinemann and Steinemann  
29 1992; Bachtrog 2003; Peona et al. 2021). Indeed, the presence of potentially active retroviral-like  
30 elements (e.g., endogenous retroviruses; ERVs) in bird species with different degrees of W chromosome  
31 degeneration spurred the development of the ‘refugium hypothesis’ (Peona et al. 2021), which predicts  
32 that the female-specific W chromosome, and male-specific Y chromosome in XY species, represent

1 refugia for retroelements that may have disruptive impacts on heterochromatin formation and gene  
2 regulation.

3 Even in the midst of substantial genetic decay, sex-limited chromosomes may sometimes retain or acquire  
4 protein-coding genes, and in some cases may experience an amplification of specific genes through  
5 duplication events (Mank et al. 2014). These genes may be dosage-sensitive, underlie sex-specific  
6 functions, and/or be involved in meiotic drives and other forms of sexual conflict (Meiklejohn and Tao  
7 2010; Parsch and Ellegren 2013). An associated prediction is that duplications evolve to compensate for  
8 lower overall transcription across heterochromatic sex-limited regions or because they confer sex-specific  
9 fitness advantages (Bachtrog 2020). For example, the neo-Y chromosome of *Drosophila miranda* has  
10 experienced a massive accumulation of genes that are dosage-sensitive or involved in testis-specific  
11 functions via duplication events (Bachtrog et al. 2019; Ellison and Bachtrog 2019). In mice, the Y  
12 chromosome is gene-rich due in large part to a parallel accumulation of genes that distort X chromosome  
13 transmission and restore equal population sex ratios when amplified on the Y chromosome, and which are  
14 necessary for male fertility (Soh et al. 2014; Kruger et al. 2019). Similarly, the avian W chromosome has  
15 experienced an amplification of the *HINTW* gene hypothesized to play roles in oogenesis and female  
16 fecundity (Ceplitis and Ellegren 2004). In addition to the amplification of gene products, gene duplication  
17 on sex-limited chromosomes can facilitate gene conversion events (Backström et al. 2005), presenting an  
18 evolutionary solution to guard against deleterious mutation accumulation impacting critical genes.

19 Among amniotes, snakes are a valuable model system for studying sex chromosome evolution, as male  
20 and female heterogamety has evolved independently in different lineages (Fig. 1A; Matsubara et al. 2006,  
21 2019; Gamble et al. 2017; Augstenová et al. 2018), providing useful comparisons to established XY and  
22 ZW model systems. Caenophidian snakes *sensu* Zaher et al. (2019), including the Acrochordoidea and  
23 Colubriiformes (colubrids, elapids, viperids, and relatives), possess homologous ZW chromosomes  
24 (Rovatsos et al. 2018; Fig. 1A). Analyses of this group are therefore of particular interest because they  
25 can provide insight into the evolutionary consequences of female heterogamety in a sex chromosome  
26 system that evolved independently of ZW chromosomes in birds (i.e., avian and caenophidian ZW  
27 chromosomes were derived from different ancestral autosomes; Matsubara et al. 2006; O'Meally et al.  
28 2012). Despite cytogenetic studies on snake sex chromosomes beginning decades ago (e.g., Becak et al.  
29 1964; Ohno 1967), far less is known about snake sex chromosome structure, function, and evolution  
30 compared to mammal and bird systems. Previous studies have demonstrated variation in the degree of  
31 divergence between Z and W chromosomes among caenophidian snake lineages (Matsubara et al. 2006),  
32 the presence of multiple evolutionary strata on the Z chromosome, and a lack of global dosage

1 compensation in females (Vicoso et al. 2013; Yin et al. 2016; Schield et al. 2019). One of the  
2 hypothesized evolutionary strata on the Z chromosome exhibits multiple signatures of relatively recent  
3 recombination suppression (i.e., the so-called ‘recent stratum’ described in Schield et al. 2019), including  
4 a lesser degree of degeneration than older strata and high levels of female-specific heterozygosity. The  
5 recent stratum may be unique to pitvipers (it was detected in *Deinagkistrodon*, *Sistrurus*, and *Crotalus*  
6 species), although additional comparative analyses and divergence time estimates to confirm the absence  
7 of recombination suppression in this region in other lineages have not been conducted. Additionally,  
8 while a previous study (Yin et al., 2016) estimated the oldest stratum to be at least 66.9 million years old,  
9 this estimate was based on a limited sampling of ZW gametologs, and the ages of more recent  
10 recombination suppression events remain unknown.

11 Despite progress, our understanding of the structure and composition of the snake W chromosome  
12 remains far from complete, and represents a major gap in our understanding of sex chromosome evolution  
13 across ZW systems in vertebrates. Previous investigations have been limited due primarily to the  
14 difficulty in reconstructing the W chromosome, which is the result of the heterochromatic and repetitive  
15 complexity, along with hemizyosity of the chromosome in females leading to lower genome sequencing  
16 coverage. This has in turn limited inferences regarding the number and age of evolutionary strata, repeat  
17 and gene content, and whether phenomena observed in other systems (e.g., the toxic-Y and refugium  
18 hypotheses) are also relevant in snake sex chromosome evolution. However, developments in sequencing  
19 technologies, such as linked-read sequencing (Weisenfeld et al. 2017), have improved the ability to  
20 assemble scaffolds spanning repeat-rich genomic regions. In this study, we use this technology to  
21 reconstruct the prairie rattlesnake (*Crotalus viridis*) W chromosome, and use these data to complement  
22 previously assembled genome data for autosomes and the Z chromosome of this species (Schield et al.  
23 2019). We use these assemblies to characterize gene and repeat content on sex chromosomes to address  
24 several outstanding or otherwise poorly-resolved questions in snake sex chromosome evolution: 1) how  
25 many evolutionary strata are present between Z and W chromosomes and how long ago did  
26 recombination suppression occur, 2) what is the repeat and nucleotide composition of the W chromosome,  
27 3) does the W chromosome represent a refugium for retroviral-like elements, as observed in other taxa, 4)  
28 do W-linked genes show evidence of retained function across evolutionary strata, and 5) is there evidence  
29 of W-specific gene duplications that may be relevant to female-specific traits or fitness?

# 1 Results

## 2 Evolutionary strata on the rattlesnake sex chromosomes

3 We identified a total of 23.7 Mb of W chromosome sequence from an assembly of a female prairie  
4 rattlesnake, represented by 2,027 scaffolds (Table 1; Supplementary Tables S1-S2) assembled using high-  
5 quality 10x Genomics linked-reads (Supplementary Fig. S1). Of these, 1,113 scaffolds (13.9 Mb) could  
6 be confidently anchored to the Z chromosome based on sequence homology (Fig. 1B). The density of  
7 homologous regions is highest in the previously identified recent stratum and the centromere, matching  
8 sequence-based evidence of ZW homology in the recent stratum (Schild et al. 2019) and cytological  
9 evidence for the relative position of the viperid snake W centromere (Baker et al. 1972; Matsubara et al.  
10 2006). There is also evidence of ZW homology across the length of the Z chromosome, albeit at lower  
11 levels, indicating more substantial W chromosome degeneration in these regions. We annotated 219 genes  
12 across these W chromosome scaffolds.

13 We calculated divergence between Z and W gametologs to investigate the presence of evolutionary strata  
14 and to date the onset of recombination suppression. Synonymous divergence,  $d_s$ , varies considerably  
15 (mean  $d_s = 0.24 \pm 0.19$ ), with gametologs falling into three spatial clusters along the Z chromosome (Fig.  
16 1C). While there is considerable variation in  $d_s$  estimates for gametologs within clusters, broad  
17 differences in  $d_s$  among clusters suggest differential timing of recombination suppression between Z and  
18 W chromosomes across these regions (Fig. 1D; Supplementary Table S3). We used these differences to  
19 infer the approximate locations of at least two evolutionary strata between the Z and W chromosomes,  
20 supporting previous hypotheses based on studies in other viperid species (Vicoso et al. 2013; Yin et al.  
21 2016; Schild et al. 2019). Genes in clusters 1 and 2 correspond to older strata across the majority of the Z  
22 chromosome (and there may be multiple undetectable strata across this broad region), with the highest  $d_s$   
23 values on average (mean  $d_s = 0.33 \pm 0.2$ ). Cluster 3 corresponds to the recent stratum and contains genes  
24 with significantly lower  $d_s$  than the older strata (mean  $d_s = 0.16 \pm 0.12$ ; Mann-Whitney U test;  $p$ -value <  
25 0.0001), although we note that  $d_s$  distributions between strata do not have equal variance (Levene's test,  $p$   
26 <  $2.2 \times 10^{-16}$ ). Using lineage-specific mutation rate estimates derived from synonymous and four-fold  
27 degenerate sites (see Methods), we transformed mean  $d_s$  values to divergence times to determine an  
28 approximate date range of recombination suppression in the older and recent strata. Based on this, we  
29 estimate that recombination suppression occurred approximately 87-102 MYA in the older strata and 40-  
30 47 MYA in the recent stratum (Fig. 1E).

1 Comparative analyses using mapped reads across the Z chromosome from female and male garter snakes  
2 (a distantly related species with ZW sex chromosomes) indicates relative female coverage ( $\log_2\text{FM}$ )  
3 values consistently near -1 ( $\log_2\text{FM} = -0.81 \pm 0.54$ ) across the recent stratum (Fig. 2). In contrast, each of  
4 the pitviper species analyzed has  $\log_2\text{FM}$  values in the recent stratum that are intermediate between older  
5 strata and the PAR (Fig. 2). These results suggest that recombination suppression in this region occurred  
6 independently in the garter snake and pitviper lineages since their divergence, consistent with the inferred  
7 age of the pitviper recent stratum being younger than the divergence time between the garter snake and  
8 pitvipers (61.7 MYA; timetree.org; Kumar et al. 2017).

### 9 The W chromosome is GC- and repeat-rich

10 Our comparative analyses indicate that the rattlesnake W chromosome has a higher GC content (mean GC  
11 =  $43.9 \pm 0.041\%$ ) than the Z chromosome ( $36.8 \pm 0.041\%$ ) and autosomes ( $36.3 \pm 0.04\%$ ; Mann-  
12 Whitney U tests,  $p$ -values  $< 2.2 \times 10^{-16}$ ; Fig. 3A). The W chromosome also has a higher proportion of  
13 CpG sites than both the Z chromosome and autosomes ( $W = 4.8 \pm 0.9\%$ ,  $Z = 0.97 \pm 0.51\%$ , autosomes =  
14  $0.96 \pm 0.54\%$ ; Mann-Whitney U tests,  $p$ -values  $< 2.2 \times 10^{-16}$ ; Fig. 3B). These results are surprising  
15 because the W chromosome is non-recombining, meaning that an accumulation of GC content though  
16 GC-biased gene conversion is not a plausible explanation for the higher overall GC content on the W  
17 chromosome relative to recombining chromosomes. To explore this result in the context of other amniotes  
18 with degenerated sex-limited chromosomes, we measured GC and CpG content in chicken, zebra finch,  
19 human, and mouse. In these comparisons, GC content on sex-limited W and Y chromosomes is  
20 significantly lower than autosomes and Z(X) chromosomes (Supplementary Table 4; Mann-Whitney U  
21 tests;  $p$ -values  $< 0.05$ ), the opposite pattern observed in prairie rattlesnake (Fig. 3A). Similarly, CG  
22 dinucleotide (i.e., CpG) content is lower on the W and Y chromosomes of the bird and mammal species  
23 with the exception of the zebra finch (Mann-Whitney U tests,  $p$ -values  $< 2.2 \times 10^{-16}$ ; Fig. 3B), which has  
24 slightly higher W-linked CpG content. Compared to the other species, CpG content on the rattlesnake W  
25 chromosome stands out as being nearly five-fold higher than the Z chromosome and autosomes (Fig. 3B).

26 The rattlesnake W chromosome also contains very high proportions of repeat element-derived sequences  
27 (Fig. 3C), consisting of 81.15% total repetitive content (more than two-fold higher than rattlesnake  
28 autosomes; Fig. 3D) derived from a diversity of TEs. Such high TE density in the rattlesnake W is similar  
29 to sex-limited chromosomes of other species (Bachtrog 2005; Graves 2006; Smeds et al. 2015; Peona et  
30 al. 2021) and is consistent with the prediction that there is less efficient selection on the sex-limited  
31 chromosome to purge deleterious mutations due to the absence of recombination and lower effective  
32 population size than other chromosomes (Charlesworth and Charlesworth 2000). This finding strongly

1 contrasts with the 15.39% repeat content reported in Singchat et al. (2020) based on the previously  
2 reported Indian cobra (*Naja naja*) W chromosome scaffold (Super-Scaffold\_1000010; Suryamohan et al.  
3 2020). However, we show that this scaffold was incorrectly identified as the W chromosome, and instead  
4 represents an autosomal chromosome scaffold (see Discussion; Supplementary Appendix; Supplementary  
5 Fig. S2A-B). Further, using the same approaches we used to identify candidate W-linked scaffolds in the  
6 rattlesnake, we identified a revised (and distinct) set of putative W chromosome scaffolds in the female  
7 Indian cobra assembly (Supplementary Fig. S2C; Supplementary Data) totaling 35.9 Mb in length that do  
8 not include Super-Scaffold\_1000010.

9 The most abundant TE family on the rattlesnake W chromosome are mdg4 (formerly known as ‘gypsy’)  
10 retroviral-like LTR retrotransposons, which constitute 23.5% of our assembled W chromosome sequence  
11 (Fig. 3E; Supplementary Table S5). Autosomes and the Z chromosome contain much lower proportions  
12 of mdg4 elements overall (2.23% and 6.64%, respectively), with lower mdg4 retroelement densities  
13 across autosomal and Z-linked regions (i.e., 10 kb sliding windows) compared to the W chromosome  
14 (Mann-Whitney U tests,  $p < 2.2 \times 10^{-16}$ ). Several potential mechanisms could explain this pattern. One  
15 possibility is that mdg4 elements on ancestral proto-sex chromosomes have persisted (i.e., experienced  
16 reduced decay) on the W chromosome following recombination suppression; a large proportion of  
17 relatively ancient mdg4 elements on the W chromosome would support this hypothesis. Alternatively, this  
18 pattern could be driven by an accumulation of mdg4 elements on the W chromosome independent of their  
19 evolution on the Z chromosome. The age distribution of mdg4 elements on the W chromosome (i.e.,  
20 CpG-corrected Kimura distance) indicates prolonged activity, including a large proportion of recently  
21 active mdg4 elements (Fig. 3C). W-linked mdg4 retroelements also tend to be longer in length than those  
22 on the Z chromosome and autosomes (mean length = 625.56 bp on the W chromosome versus 475.8 bp  
23 on autosomes and the Z chromosome; Supplementary Fig. S3; Mann-Whitney U tests,  $p$ -values  $< 4 \times 10^{-11}$ ).  
24 It is also notable that in our analysis of full-length retroelements (below) we identify 327 full-length  
25 and potentially transpositionally-active mdg4 elements (i.e., with paired LTRs and open reading frames  
26 with intact *gag* and *pol* genes) on the W chromosome. The relative abundance of W-linked mdg4  
27 elements potentially capable of self-replication argues for their accumulation after recombination  
28 suppression between the sex chromosomes.

## 29 mdg4 retroelements drive GC-richness on the W chromosome

30 Given the high frequency of W-linked mdg4 retroelements, we asked if these elements may substantially  
31 contribute to compositional patterns on the W chromosome, such as the observed GC-richness. Indeed,  
32 W-linked mdg4 retroelement regions are GC-rich (mean GC =  $47.4 \pm 5.1\%$  SD), significantly more so



1 than L1 LINEs and CR1/L3 LINEs which are otherwise fairly abundant TE families in the entire  
 2 rattlesnake genome and on the W specifically ( $34 \pm 5.6\%$  and  $44.8 \pm 7.8\%$  GC, respectively; Mann-  
 3 Whitney U tests,  $p$ -values  $< 2.2 \times 10^{-16}$ ; Supplementary Table S5). Mdg4 elements are also significantly  
 4 more GC-rich than the total background of non-mdg4 TEs (mean GC =  $43.4 \pm 12.8\%$ ;  $p < 2.2 \times 10^{-16}$ ).  
 5 We therefore tested whether regional GC content is associated with mdg4 element density, and find that  
 6 GC content and mdg4 density are positively correlated across the W chromosome (Spearman's rank  
 7 correlation coefficient  $r = 0.46$ ,  $p < 2.2 \times 10^{-16}$ ; Fig. 3F). This association persists when controlling for  
 8 CpG content and total repeat content (Spearman's partial correlation coefficient  $r = 0.15$ ,  $p = 1.55 \times 10^{-11}$ ).  
 9 Further, we find no correlation between GC content and total repeat content when mdg4 elements are  
 10 removed ( $r = 0.046$ ,  $p = 0.18$ ; Fig. 3G). These results support a view that the W chromosome has evolved  
 11 higher GC content than autosomes and the Z chromosome primarily due to the proliferation of GC-rich  
 12 LTR retroelements.

### 13 The W chromosome is a refugium for retroelements

14 We tested the hypothesis that the rattlesnake W chromosome acts as a refugium for retroviral-like  
 15 elements by examining the frequencies of TE families, including full-length LTRs, across the genome and  
 16 testing whether these fit a uniform distribution or if the W chromosome is exceptional with regard to  
 17 genome-wide TE distributions. We used a  $\chi^2$  framework (Peona et al. 2021) to compare observed and  
 18 expected TE frequencies and to calculate a refugium index for autosomes, the Z, and the W, where  
 19 positive RI values indicate an excess of elements compared to a uniform distribution. Indeed, we find that  
 20 the W chromosome contains a significant excesses of total repeat elements (refugium index (RI) = 1.8),  
 21 ERVs (RI = 16.64), mdg4 (RI = 7.5), and L1 LINE elements (RI = 4.7; Fig. 4A-D; Table 2;  
 22 Supplementary Table S6). The observed distributions of TEs in each of these categories deviated  
 23 significantly from a uniform expectation (total repeat  $\chi^2 = 14,226,221$ ,  $df = 2$ ,  $p < 2.2 \times 10^{-16}$ ; ERV  $\chi^2 =$   
 24  $8,724,789$ ,  $df = 2$ ,  $p < 2.2 \times 10^{-16}$ ; mdg4  $\chi^2 = 17,352,014$ ,  $df = 2$ ,  $p < 2.2 \times 10^{-16}$ ; L1 LINE  $\chi^2 = 6,583,173$ ,  
 25  $df = 2$ ,  $p < 2.2 \times 10^{-16}$ ). The Z chromosome also has RI values consistent with an excess of TEs, yet these  
 26 values are consistently lower than those observed on the W (Fig. 4A-D), with the exception of CR1  
 27 LINEs, for which the Z chromosome has a higher RI (0.059) than the W chromosome (0.028); observed  
 28 frequencies of CR1 LINEs also deviate significantly from the uniform expectation ( $\chi^2 = 14,663$ ,  $df = 2$ ,  $p$   
 29  $< 2.2 \times 10^{-16}$ ). In contrast to patterns on the sex chromosomes, autosomes do not show evidence of excess  
 30 TEs (i.e.,  $RI < 0$ ; Table 2).

31 We identified a total of 15,961 genome-wide full-length LTR retrotransposons (fl-LTRs), defined as  
 32 having intact LTR and protein domains (see Methods), that were non-randomly distributed across the

1 genome ( $\chi^2 = 384.21$ ,  $df = 2$ ,  $p < 2.2 \times 10^{-16}$ ), with significant enrichment on the sex chromosomes. Of  
2 these, 572 (3.6%) are located on the W chromosome, corresponding to an RI of 0.89. The Z chromosome  
3 contains 1,786 fl-LTRs (11.2%; RI = 0.29). Autosomes contain the remaining 13,603 fl-LTRs (85%),  
4 significantly fewer than expected under a uniform expectation and corresponding to a RI of -0.047. In  
5 addition to the W chromosome having the highest fl-LTR RI, these full length retroelements constitute  
6 13.2% of total W-linked sequence. These results, along with evidence of high RI for specific TE families,  
7 indicate that the rattlesnake W chromosome acts as a refugium for potentially transpositionally-active  
8 LTR retroelements. We further tested for evidence of sex differences in LTR-derived ‘toxicity’ by  
9 calculating a toxicity index (Peona et al. 2021) based on identified fl-LTRs on autosomes and the sex  
10 chromosomes (see Methods). This analysis suggests no sex bias in toxicity, as the calculated index is very  
11 near zero (toxicity index = -0.03).

## 12 W-linked gene expression and female-specific function

13 Gene expression analyses using female and male RNAseq data identified evidence of expression for 145  
14 (66.2%) of the 219 annotated genes on the W chromosome (Supplementary Table S7). Of these, 137 W  
15 gametologs (62%) show evidence of being expressed in female tissues (mean female TPM > 0), and 31  
16 (14.2%) have female-biased expression based on formal tests of ‘upregulation’ compared to males (IHW  
17  $p$ -values < 0.05; Fig. 5A; Supplementary Fig. S4). This analysis revealed only two other female-biased  
18 genes on other chromosomes, indicating disproportionate W-linkage of genes with female-biased  
19 expression. Additionally, a total of 103 genes show evidence of female expression (raw expression count  
20 > 0 in at least one female tissue) and no evidence of male expression, further emphasizing W-linkage and  
21 divergence between Z and W gametologs for these genes. Identified 1:1 ZW gametologs with evidence of  
22 female expression are similar in abundance in both the recent and older evolutionary strata (45 and 44  
23 genes, respectively), although the older strata contain a higher proportion of genes with female-biased  
24 expression (25.4%) than the recent stratum (12.7%). Overall, evidence of W-linked gene expression  
25 indicates a degree of retained gene function across both recent and older evolutionary strata.

26 We tested whether genes on the W chromosome show any relevant links to female-specific traits and  
27 biological processes by characterizing functional annotations for W-linked genes using gene ontology  
28 (GO) term, pathway, and protein class classifications. Multiple enriched GO terms (i.e., FDR-corrected  $p$ -  
29 value < 0.05) are directly relevant to embryogenesis, including embryonic organ development, skeletal  
30 system morphogenesis, and embryonic skeletal system development (Fig. 5B). Other non-enriched terms  
31 represented by multiple W-linked genes relate to developmental processes, including facial development,  
32 cranial skeletal system development, hindbrain development, and nerve development. W-linked genes are

1 not significantly enriched for any specific pathways, however several pathways relevant to embryo  
2 development involve genes on the W chromosome, including: activation of HOX genes during  
3 differentiation, activation of anterior HOX genes in hindbrain development during early embryogenesis,  
4 and programmed cell death (Supplementary Fig. S5). Other functional categories involving W-linked  
5 genes relate to chromatin regulation (Fig. 5B), including: covalent chromatin modification (biological  
6 process; 9 genes), nuclear chromatin (cellular component; 8 genes), acetyltransferase complex (cellular  
7 component; 4 genes), and the histone acetyltransferases acetylate histones pathway (4 genes). Protein  
8 classifications indicate that the W chromosome also contains genes that function as gene-specific  
9 transcriptional regulators and protein modifying enzymes (Fig. 5C). One of these genes, *LMTK3*, is a  
10 positive regulator of the estrogen receptor *ESR1* (Giamas et al. 2011), which plays a putative role in  
11 dosage compensation on the Z chromosome in rattlesnakes (Schild et al. 2019) and female-biased gene  
12 expression in other reptiles (Rice et al. 2017).

### 13 Evolution of W-linked genes: survival, duplication, selection, and gene conversion

14 A large percentage of W-linked genes (49.6%) occur in the recent stratum, representing a significant  
15 enrichment over the proportion of Z-linked genes in this region (19.7%; Fisher's exact test,  $p = 2 \times 10^{-7}$ ).  
16 In contrast, the older stratum of the W chromosome is depleted of genes relative to the Z chromosome  
17 (50.4% versus 80.3%, respectively;  $p = 0.0037$ ). This suggests a greater degree of gene  
18 persistence/retention (or a slower decay rate) in the recent stratum of the W chromosome, though this  
19 pattern could be a simple consequence of more ancient recombination suppression in older strata. To  
20 account for this, we calculated the rate of W-linked gene decay in each stratum based on the number of  
21 ancestral genes lost on the W chromosome and estimated divergence times. Here, we calculate a W-  
22 linked recent stratum decay rate of  $5 \times 10^{-6}$  (i.e., five genes lost per million years), more than two-fold  
23 slower than the W-linked older stratum rate ( $1.2 \times 10^{-5}$ ), and consistent with a greater degree of gene  
24 retention in the recent stratum.

25 Translocation from autosomes is another mechanism to combat gene decay on the W chromosome (Mank  
26 2012), in which autosomal genes are transferred to the W chromosome after recombination suppression  
27 between the sex chromosomes. We tested for evidence of translocated genes by identifying W-linked  
28 genes that lack a matched Z gametolog and are also not orthologous to genes on *Anolis* chromosome 6  
29 (which shares common ancestry with caenophidian snake ZW sex chromosomes). We find 17 genes  
30 (7.7% of W-linked genes) with evidence of translocation to the W from autosomes (Supplementary Table  
31 S8), indicating that both ancestral gene survival and gene gains from autosomes contribute to gene  
32 content on the W chromosome. Functional annotation of translocated genes do not show statistical

1 enrichment for specific GO terms or pathways (Supplementary Fig. S6A-B), however several genes have  
2 known roles in immune function (*H2Q9* and *RBM14*; see below) and the gene *VWA5A* may function as a  
3 tumor suppressor in humans (Martin et al. 2003). Other candidate autosome-W-translocated genes are  
4 uncharacterized (Supplementary Table S8).

5 We further tested for evidence of W-specific gene duplications (i.e., ampliconic genes; Bachtrog 2013) in  
6 the rattlesnake by comparing sequencing depths between autosomes and the W chromosome, with the  
7 expectation that single-copy W gene depth would be half of that observed for autosomal genes. We infer  
8 that 21% of genes on the W chromosome are present in two or more copies, with a subset showing  
9 evidence of even greater degrees of amplification (Fig. 6A; Supplementary Table S9). Several of these  
10 genes lack apparent Z gametologs and are annotated as *MLV*-related proviral *ENV* polyprotein, *ERV3-1*,  
11 and *ERV-2*, which are present in at least 94, 47, and 6 total copies, respectively. Amplification of these  
12 genes is logical given that they are derived from retroviral-like elements (Cohen et al. 1985; Evans et al.  
13 2003), which are abundant and active on the W chromosome (Fig. 4). Other ampliconic genes include  
14 *RBM14*, which is involved in the activation of the innate immune response in humans (Morchikh et al.  
15 2017), and multiple members of the vertebrate histocompatibility complex (*H2Q9*, *RT1B*, and *HAI1F*). We  
16 infer that *H2Q9* and *RBM14* were translocated from autosomes to the W chromosome, with evidence of  
17 W-specific duplications indicating subsequent amplification following translocation. While these results  
18 suggest that gene duplication may have produced an expanded immune gene complement in females, we  
19 note that our approach cannot confirm whether these duplicates are functional because evidence for the  
20 multi-copy nature of these genes is based only on relative read depths rather than multiple reconstructed  
21 gene models in our annotation (likely due to their repetitive and structural complexity). Inferred  
22 duplicated genes were not significantly enriched for specific GO terms or pathways (Supplementary Fig.  
23 S6C-D), though several genes are involved in response to estradiol, tissue and neuronal development, and  
24 skeletal morphogenesis.

25 Because it does not experience sexual recombination and has a low effective population size, we predict  
26 that the effects of linked selection and genetic drift hinder the efficiency of selection on the W  
27 chromosome to a greater extent than the rest of the genome. Comparing rates of between-species  
28 sequence divergence for the sex chromosomes provides a means to test whether these factors have indeed  
29 differentially influenced sequence evolution on the Z and W chromosomes since recombination  
30 suppression. We calculated the ratio of nonsynonymous to synonymous divergence ( $d_N/d_S$ ) between  
31 prairie rattlesnake and five-pace viper for Z and W gametologs, and compared the distributions of  $d_N/d_S$   
32 with the expectation that higher values are indicative of less efficient purifying selection (Charlesworth

1 and Charlesworth 2000). Importantly, we restricted these analyses only to genes for which we could  
2 identify reciprocal best hit orthologs to avoid comparisons between gene duplicates (see Methods). The  
3 W chromosome has significantly higher  $d_N/d_S$  ( $0.44 \pm 0.29$ ) than the Z chromosome ( $0.26 \pm 0.22$ ; Mann-  
4 Whitney U test,  $p$ -value =  $2.8 \times 10^{-6}$ ; Fig. 6B; Supplementary Table S3), consistent with reduced efficacy  
5 of purifying selection on the non-recombining W chromosome. This result is consistent across  
6 evolutionary strata, with higher W-linked  $d_N/d_S$  in stratum 1 (i.e. older strata; W  $d_N/d_S = 0.41 \pm 0.29$ , Z  
7  $d_N/d_S = 0.26 \pm 0.26$ ;  $p$ -value = 0.0034) and stratum 2 (recent stratum; W  $d_N/d_S = 0.46 \pm 0.3$ , Z  $d_N/d_S =$   
8  $0.26 \pm 0.19$ ;  $p$ -value = 0.0003).

9 In addition to differences in effective population size between the sex chromosomes and the effects of  
10 selective interference on the W chromosome, it experiences a female-specific mutation rate because it is  
11 unique to the female germline. Investigations comparing rates of divergence between autosomes and the  
12 Z chromosome in snakes have demonstrated male-biased mutation rates (Vicoso et al. 2013; Schield et al.  
13 2021), similar to patterns observed in birds (e.g., Axelsson et al. 2004; Smeds et al. 2015). We therefore  
14 expected to find higher mutation rates on the Z chromosome than W chromosome. Surprisingly, we find  
15 higher  $d_S$  between the prairie rattlesnake and five-pace viper W chromosomes ( $0.18 \pm 0.21$ ) than between  
16 the Z chromosomes ( $0.1 \pm 0.09$ ;  $p$ -value 0.02; Fig. 6C; Supplementary Table S3).

17 Faster divergence on the W chromosome could be driven by a higher female-specific mutation rate than  
18 previously estimated, although a more likely mechanism is intrachromosomal gene conversion, which has  
19 been observed among sex-limited chromosomes of diverse taxa (Slattery et al. 2000; Rozen et al. 2003;  
20 Backström et al. 2005; Wright et al. 2014; Chang and Larracuente 2019). A uniting feature in these  
21 examples is the presence of gene duplications, enabling gene conversion between paralogs on the sex-  
22 limited chromosome (Connallon and Clark 2010). The abundance of amplified gene copies on the  
23 rattlesnake W chromosome may present a similar repetitive substrate for intrachromosomal gene  
24 conversion. To test for gene conversion on the W chromosome, we compared the proportion of GC bases  
25 at third codon positions (GC3) between ZW gametologs, following Smeds et al. (2015). Here, the  
26 expectation under the GC-biased gene conversion model (Galtier et al. 2001) is that W-linked genes will  
27 have lower GC3 than their Z-linked counterparts in the absence of gene conversion on the W  
28 chromosome. However, the GC3 distribution for W gametologs ( $51.2 \pm 12\%$ ) is not significantly lower  
29 than Z gametologs ( $50 \pm 11\%$ ; Welch's two-sample  $t$ -test,  $p$ -value = 0.27; Fig. 6D; Supplementary Table  
30 S3), and 56% of W genes have higher GC3 than the matching Z gametolog. This finding contrasts with  
31 the avian W chromosome, for example, which contains few ampliconic genes with higher GC3 than Z  
32 gametologs (e.g., *HINTW*; Ceplitis and Ellegren 2004; Backström et al. 2005; Smeds et al. 2015; Rogers

1 et al. 2021), and suggests that intrachromosomal gene conversion may be comparatively frequent on the  
2 snake W chromosome, playing a role in shaping patterns of W-linked synonymous sequence divergence  
3 and GC content.

## 4 Discussion

### 5 Evolution of the distinctive rattlesnake W chromosome

6 The rattlesnake W chromosome possesses a number of distinctive structural and evolutionary features that  
7 provide an expanded perspective of how non-recombining sex chromosomes evolve in vertebrates,  
8 illustrating a broader diversity of evolutionary trajectories for sex-limited chromosome evolution in  
9 comparison to established models from mammals and birds. We infer that recombination suppression  
10 between the sex chromosomes occurred in at least two steps, with the initial formation of an ancient  
11 evolutionary stratum (or strata) roughly 87 million years ago followed by the formation of the recent  
12 stratum 40 million years ago (Fig. 1). The presence of at least two evolutionary strata is consistent with  
13 several previous investigations (Vicoso et al. 2013; Yin et al. 2016; Schield et al. 2019), although these  
14 previous studies, which lacked the resolution of the present W chromosome assembly, were unable to  
15 determine whether the recent stratum represents a unique recombination suppression event in pitvipers, or  
16 if this event took place earlier in colubriiform or even caenophidian snake evolution (i.e., caenophidians  
17 possess homologous ZW sex chromosomes; Rovatsos et al. 2018). Based on comparative analyses of  
18 colubriiform species and divergence between ZW gametologs, we infer that recombination suppression in  
19 the recent stratum occurred after the split between pitvipers and the garter snake (Figs. 1–2), suggesting  
20 that restricted recombination evolved independently in the homologous region of the sex chromosomes of  
21 other lineages (e.g., colubrids and elapids). Whether recombination suppression in the recent stratum  
22 occurred prior to the common ancestor of Viperidae is unclear. Our divergence time estimate is very close  
23 to the estimated split between true vipers and pitvipers (42.8 MYA; Zheng and Wiens 2016), and  
24 additional analysis of sex chromosomes in viperine species will be necessary to confirm whether the  
25 recent stratum is a shared feature of the Viperidae.

26 The majority of the caenophidian sex chromosome pair, however, experienced more ancient  
27 recombination suppression (Figs. 1–2). While we suspect that this region may encompass multiple  
28 evolutionary strata, recombination suppression likely occurred too closely in time to distinguish between  
29 independent events (Fig. 1C–D). The inferred age range of the older strata is coincident with the ancestral  
30 split between Caenophidia (including Colubriiformes and Acrochordoidea) and Henophidia (including

1 boas and pythons) roughly 91 MYA (Kumar et al. 2017). This is particularly interesting because  
2 henophidian snakes have evolved independent XY and ZW sex chromosomes multiple times (Gamble et  
3 al. 2017; Augstenová et al. 2018), while all caenophidian snakes sampled to date have homologous ZW  
4 sex chromosomes (Matsubara et al. 2006; Rovatsos et al. 2015, 2018). The age of the older strata and  
5 ubiquitous presence of ZW sex chromosomes together indicate that the formation of ZW sex  
6 chromosomes was a major transition associated with early caenophidian evolution.

7 The rattlesnake W chromosome has evolved a unique complement of features since the establishment of  
8 recombination suppression. A particularly striking feature is relatively extreme GC-richness, which  
9 contrasts sharply with sex-limited chromosomes of other amniote species (Fig. 3). Chromosomes lacking  
10 sexual recombination are expected to have a lower frequency of GC nucleotides than recombining  
11 genomic regions because GC-biased gene conversion is expected to be less prevalent or absent altogether  
12 (Eyre-Walker and Hurst 2001; Galtier et al. 2001; Duret and Galtier 2009). Indeed, comparisons reveal a  
13 pattern of low GC content on the sex-limited chromosome across other ZW and XY amniote vertebrates  
14 (Fig. 3). We find that GC-richness on the rattlesnake W chromosome coincides with an abundance of GC-  
15 rich mdg4 elements, which comprise over 23% of the rattlesnake W chromosome (Figs. 3–4;  
16 Supplementary Table S5). The relative abundance of full-length mdg4 elements on the W chromosome  
17 further suggests that mdg4-driven GC-richness has evolved since the onset of recombination suppression  
18 between the sex chromosomes, which promoted the retention of these potentially transpositionally-active  
19 elements.

20 Our finding that mdg4 element insertion has played a dominant role in the evolution and divergence of  
21 the rattlesnake W chromosome raises questions about what features of this particular element may have  
22 favored its invasion of the W, and what the biological ramifications of this invasion may be. Studies in  
23 *Drosophila* have indicated that mdg4 elements may accumulate in specific genomic regions through a  
24 feed-forward process in which the presence of an existing element significantly increases the probability  
25 of insertion of additional new elements into adjacent genomic sequences (Labrador et al. 2008). Evidence  
26 that the rattlesnake W chromosome is a major hotspot for mdg4 insertion is consistent with this model,  
27 which may partially explain why mdg4 elements have accumulated at such high frequencies. Mdg4  
28 elements are known to be transmitted as retrovirus-like pro-viral particles (Labrador et al. 2008), which  
29 suggests they are highly capable of horizontal transfer. Unlike most LTR- and retroviral-like elements  
30 that target euchromatic open chromatin (Scherdin et al. 1990; Schröder et al. 2002; Wu et al. 2003), mdg4  
31 elements tend to be site-specific and target heterochromatic regions (Labrador et al. 2008). In *Drosophila*,  
32 mdg4 elements are also transmitted through female oocytes, and insert in germline tissue via a piRNA-

1 dependent mechanism, suggesting their potential to preferentially target the heterochromatic W  
2 chromosome and female germline tissues (Dej et al. 1998). These elements are also known to modify  
3 chromatin and to form insulator sequences (i.e., elements with enhancer-blocking activity; Labrador et al.  
4 2008). It is thus plausible that *mdg4* elements could have contributed to the suppression of recombination  
5 between regions of the Z and W chromosomes and associated divergence of Z versus W gametolog  
6 expression via insertion of insulator sequences on the W chromosome. Future comparative studies that  
7 integrate data on gene expression, chromatin accessibility, and chromatin structure (e.g., ATACseq and  
8 Hi-C) would be valuable for testing these hypotheses regarding the potential functional ramifications of  
9 *mdg4* element insertions on the W chromosome.

10 In addition to patterns of GC-richness driven by *mdg4* retroelements, intrachromosomal gene conversion  
11 also appears to have contributed to the GC-richness of the rattlesnake W chromosome and may represent  
12 a mechanism to counteract gene decay on the W chromosome. Using simulations, Marais et al. (2010)  
13 showed that gene conversion between Y-linked gene copies can be beneficial for opposing Muller's  
14 Ratchet, with greater gene copy number providing an enhanced benefit. Other empirical examples also  
15 show a prevalence of gene conversion between amplified gene families on Y and W chromosomes (e.g.,  
16 Rozen et al. 2003; Backström et al. 2005; Connallon and Clark 2010; Rogers et al. 2021) – the  
17 taxonomically widespread nature of gene conversion on sex-limited chromosomes indeed suggests that it  
18 may be advantageous in tempering rates of gene decay. Several lines of evidence support that the counter-  
19 degeneration effects of gene conversion are relevant to snake W chromosome evolution. Most generally,  
20 the observation of W genes with higher GC3 than matched Z gametologs (Fig. 6; Supplementary Table  
21 S3) suggests the presence of GC-biased gene conversion, analogous to the evolution of the *HINTW* gene  
22 family on the avian W chromosome (Ceplitis and Ellegren 2004; Backström et al. 2005; Nam and  
23 Ellegren 2008). Further, we estimate that the recent stratum has experienced a slower rate of gene decay  
24 than older strata, combined with a greater number of W-specific gene duplications and higher  $d_s$  values on  
25 average (Fig. 6). Based on this complement of patterns, we hypothesize that gene duplications have  
26 provided an evolutionary substrate for intrachromosomal gene conversion across the W chromosome,  
27 especially within the recent stratum. It is also possible that the *mdg4*-dominated repeat landscape of the  
28 W chromosome has further enhanced the propensity for intrachromosomal gene conversion.

## 29 Retroelements and the evolution of sex-linked mutational load

30 Among the most distinctive features of the rattlesnake W chromosome is that it is more than 80%  
31 repetitive, far surpassing the repeat content of autosomes and the Z chromosome (Fig. 3; Supplementary  
32 Table S5; Schield et al. 2019). In contrast, Singchat et al. (2020) reported much lower repeat content



1 based on the previously published genome assembly for the Indian cobra (*Naja naja*) that contained a  
2 scaffold identified as the W chromosome (Super-Scaffold\_1000010; Suryamohan et al. 2020). The  
3 surprising contrast in repeat landscapes between the two species, along with other preliminary  
4 comparative analyses, led us to question the W-linkage of Indian cobra Super-Scaffold\_1000010, and we  
5 performed a series of analyses designed to test whether the scaffold is instead autosomal (and thus  
6 misidentified as the W chromosome). Indeed, comparative mapping of male and female reads combined  
7 with synteny analyses indicate that this Indian cobra scaffold represents a fragment of chromosome 6  
8 (Supplementary Appendix; Supplementary Fig. S2A-B). Based on these results, we conclude that prior  
9 characterization of this presumed W chromosome in the Indian cobra was in error, and instead described  
10 characteristics of an autosome wrongly inferred to represent the W chromosome in that species (a list of  
11 candidate W-linked Indian cobra scaffolds identified in this study is provided in the Supplementary Data).  
12 As such, the repeat-poor inference reported in Singchat et al. (2020) is not representative of the snake W  
13 chromosome repeat landscape, and our findings presented here for the rattlesnake W chromosome  
14 provide, to our knowledge, the first accurate characterization of the repeat element accumulation and  
15 composition on a snake W chromosome.

16 Accumulation of repeat content on the rattlesnake W chromosome is consistent with the large body of  
17 empirical evidence that TE activity and accumulation drives the evolution of highly repetitive sex-limited  
18 chromosomes (Bachtrog 2013; Smeds et al. 2015; Tomaszewicz et al. 2017; Peona et al. 2021). Here,  
19 transposable element insertions likely persist at a higher frequency on the W chromosome because  
20 selection is less efficient against slightly deleterious mutations due to the magnified effects of genetic  
21 drift and selective interference – ultimately a consequence of recombination arrest (Charlesworth et al.  
22 1986; Charlesworth and Charlesworth 2000). While mechanisms (e.g., gene conversion) may exist to  
23 oppose genetic decay, at a broad scale their effects appear to be limited relative to the dominant impacts  
24 of retroelements, including full length elements capable of transpositional activity, in shaping the  
25 mutational landscape of the W chromosome. Moreover, the relevance of these factors supports the view  
26 that the W chromosome is the product of antagonistic evolutionary mechanisms, culminating in a fine  
27 balance between decay and retention of ancestral genomic regions and genes.

28 The repetitive nature of sex-limited chromosomes may have important implications for sex-biased  
29 mutational load and genome function through epistatic effects on chromatin structure. The observation that  
30 W(Y) chromosomes act as reservoirs for transposable elements that have large-scale effects on gene  
31 expression during aging due to breakdowns in heterochromatin has led to the ‘toxic Y’ hypothesis (Brown  
32 et al. 2020b; Nguyen and Bachtrog 2020; Wei et al. 2020), and spurred the development of metrics to

1 quantify the toxicity of sex-limited chromosomes and the degree to which they act as transposable  
2 element refugia (Peona et al. 2021). We find that the rattlesnake W chromosome is highly enriched for  
3 retroelements, including multiple retroviral-like elements (Fig. 4; Table 2; Supplementary Table S6),  
4 which are similarly abundant on the W chromosome in bird species (Peona et al. 2021). These include a  
5 disproportionate number of full-length elements that have likely been protected from purifying selection  
6 due to selective interference on the W chromosome, providing the first evidence that the snake W  
7 chromosome acts as a refugium for self-replicating transposable elements. This suggests the W  
8 chromosome may be a source of higher mutational load in female snakes, with possible downstream  
9 impacts on gene regulation and genomic instability analogous to those reported for birds (Peona et al.  
10 2021) and *Drosophila* (Nguyen and Bachtrog 2020; Wei et al. 2020). The potential for genetic  
11 incompatibilities to arise on the W chromosome may have additional downstream impacts relevant to  
12 speciation, as the build-up of mutational load could drive reproductive isolation between snake lineages,  
13 similar to that suggested in birds (Peona et al. 2021). Intriguingly, we do not find support for female-  
14 biased toxicity based on the toxicity index, as both Z and W chromosomes are enriched for full-length  
15 elements relative to autosomes, yielding an index near zero (see Results). An important caveat to  
16 consider, however, is that the fragmentary nature of our W chromosome assembly may bias our inference  
17 of full-length elements (i.e., it likely represents a lower-bound on the true number of full-length  
18 elements), compared to the contiguous assembly of the Z chromosome and autosomes (Table 1; Schield et  
19 al. 2019). It therefore remains an open question if there is truly no sex bias in ‘toxicity’, and additional  
20 analysis of the presence and activity of full-length elements based on contiguous W chromosome  
21 assemblies will be useful for further testing hypotheses related to female-specific mutational load.

## 22 Specialization of the W chromosome for female function

23 Despite substantial degeneration of the rattlesnake W chromosome, it has retained a subset of genes that  
24 may be important for female-specific function (Fig. 5). Indeed, our characterization of W-linked genes  
25 indicates retention of genes involved in reproduction, development, and transcriptional regulation.  
26 Specifically, the W chromosome is enriched for genes involved in embryonic development (i.e., skeletal  
27 and nervous system development; Fig. 5), and also houses multiple genes involved in early  
28 developmental differentiation (e.g., HOX genes), programmed cell death, and chromatin regulation. One  
29 particular retained gene on the W chromosome, *LMTK3*, is notable because it functions as a positive  
30 regulator of the estrogen receptor *ESR1* (Giamas et al. 2011), which is known to play a role in promoting  
31 female-biased gene expression in vertebrates (Nilsson et al. 2001; Rice et al. 2017). A previous study  
32 found evidence for an evolutionary increase in the abundance of estrogen response elements (cis-  
33 regulatory elements bound by ESR1) on the rattlesnake Z chromosome that may facilitate gene- or

1 region-specific dosage compensation (Schild et al. 2019). It is therefore plausible that survival of the  
2 *LMTK3* W gametolog was favored by selection because it is necessary for activation of *ESR1* related to  
3 its role in estrogen-driven upregulation of dose-sensitive genes in females.

4 Several genes involved in immune function have also translocated to the W chromosome and or  
5 experienced W-specific amplification through apparent gene duplication (e.g., *H2Q9*, *HAI1F*, *RBM14*, and  
6 *RT1B*). Why translocation and pronounced duplication of such genes has evolved is unclear, but it is  
7 logical that an associated increase in immune function is beneficial to female survival and reproduction.  
8 In the case of W-specific duplications of immune genes, one possibility is that selection has favored  
9 amplification to compensate for lower expression on the highly heterochromatic W chromosome, similar  
10 to explanations for expanded gene families on the *Drosophila miranda* neo-Y chromosome (Bachtrog  
11 2006, 2020). An alternative explanation, analogous to the hypothesized role of *HINTW* expansion in birds  
12 (Backström et al. 2005), is that duplication is simply favorable as a mechanism to safeguard against  
13 genetic decay and ultimate loss of immune genes on the W chromosome.

#### 14 Conclusion

15 Snakes inspired foundational work that established prevailing views of sex chromosome evolution (Ohno  
16 1967), yet characterizations of snake sex chromosome genomic structure and function have since lagged  
17 behind those of other vertebrate groups, leaving a major gap in our understanding of the forces that shape  
18 the evolution of sex chromosomes in vertebrates. Here, we provide the first detailed analysis of the W  
19 chromosome from a caenophidian snake, the prairie rattlesnake. Our findings reveal a unique complement  
20 of structural features on the W chromosome that bear similarities and contrasts with other species,  
21 providing an expanded view of vertebrate sex chromosome evolution. The diverse and often conflicting  
22 dynamics between specialization of the W chromosome for vital female-specific functions, retention of  
23 critical genes, retroelement proliferation, and ongoing genetic decay highlight the complexity of unique  
24 evolutionary forces that shape sex chromosomes. These findings also raise new questions for future  
25 comparative study, including: 1) how has recombination suppression proceeded in other caenophidian  
26 lineages, 2) has specialization for female function followed independent evolutionary trajectories in  
27 distinct snake ZW and XY systems, 3) how have mdg4 elements contributed to recombination  
28 suppression, chromatin structure, and divergence of gene expression and function on the W chromosome,  
29 and 4) how does female-specific mutational load and the potential ‘toxicity’ of the W chromosome impact  
30 genomic regulation and are these effects relevant to speciation? Finally, though linked-read sequencing  
31 enabled the assembly of W chromosome scaffolds, these remain fragmentary likely due to high  
32 heterochromatin and repetitive content of the chromosome. Additional scaffolding using long-read

1 technologies and Hi-C chromatin contact data (especially for trios of parents and offspring) holds promise  
2 for increasing the contiguity of highly-degenerated sex chromosome assemblies in the rattlesnake and  
3 other snake species.

## 4 Materials and Methods

### 5 Assembly of Prairie Rattlesnake W chromosome scaffolds

6 We used the prairie rattlesnake reference genome assembly and annotation (Schild et al. 2019a; NCBI  
7 BioProject PRJNA413201) for analyses of autosomes and the Z chromosome. To generate sequence data  
8 for the W chromosome, we sampled a female prairie rattlesnake from the same population as the male  
9 genome animal. Liver tissue was snap-frozen in liquid nitrogen and stored at  $-80^{\circ}$  C. Genomic DNA was  
10 extracted and a 10x Genomics Chromium library was prepared to enable linked-read sequencing on an  
11 Illumina NovaSeq 6000 using 150 bp paired-end reads. We assessed sequence quality using FastQC  
12 (<http://www.bioinformatics.babraham.ac.uk/projects/fastqc>) and summarized the results using MultiQC  
13 (Ewels et al. 2016), which are shown in Supplementary Fig. S1. We performed genome sub-assembly  
14 using the 10x Genomics Supernova assembler v2.1.1 (Weisenfeld et al. 2017) specifying 560 million  
15 input reads based on calculations of recommended fold genome-coverage and the estimated genome size.  
16 We used the ‘pseudohap2’ option to generate scaffold sequences in order to retain as many potentially W-  
17 linked scaffolds as possible.

18 We identified W-linked scaffolds by first performing a homology search of all female scaffolds against  
19 the male genome assembly using MashMap (Jain et al. 2018), using a 95% identity threshold and the one-  
20 to-one filtering option. We removed high-similarity hits to autosomes and scaffolds that were less than 5  
21 kb in length and retained hits to the Z chromosome and also any scaffolds that did not have significant  
22 sequence similarity to the male reference (i.e., female-specific scaffolds). This procedure is expected to  
23 enrich for a list of W-linked, Z-linked, and pseudoautosomal region (PAR) scaffolds from the female  
24 genome assembly. We then filtered scaffolds with hits to the 6.8 Mb PAR region, leaving 15,254  
25 putatively sex-linked scaffolds.

26 We then used comparative mapping of whole genome resequencing reads from both sexes to distinguish  
27 W- and Z-linked female scaffolds. We quality trimmed reads (Supplementary Table S1) using  
28 Trimmomatic v0.39 (Bolger et al. 2014) with the settings LEADING:20 TRAILING:20 MINLEN:32  
29 AVGQUAL:30. We mapped filtered reads to all female scaffolds using default settings in BWA mem

1 v0.7.17 (Li and Durbin 2009), then removed mapped reads with quality scores below Q30 using Samtools  
 2 v1.1 (Li et al. 2009). We calculated sequencing depth per scaffold using Mosdepth (Pedersen and Quinlan  
 3 2018) with default parameters. We then used the distribution of read depths from scaffolds with best hits  
 4 to autosomes to calculate median autosomal depth per sex.

5 Statistical methods used to identify W-linked sequence based on comparative mapping have different  
 6 associated power and false-positive rates, with the potential to spuriously assign sex-linkage to autosomal  
 7 regions or vice versa. To address this concern, we performed comparative mapping analyses in chicken  
 8 (*Gallus gallus*) using available female and male resequencing data (Cortez et al. 2014; NCBI SRA  
 9 accessions SRR958465 and SRR958466) mapped to version 6 of the *Gallus gallus* genome, which  
 10 includes an assembled W chromosome (Hillier et al. 2004; Bellott et al. 2017). We filtered and mapped  
 11 reads following the methods described for rattlesnake data and calculated mean read depth in genomic  
 12 windows using Mosdepth. The mean length of female rattlesnake scaffolds was 10,013.8 bp, so we  
 13 measured read depth in the chicken using 10 kb windows for comparison. We then counted the number of  
 14 true and false positives when identifying putative W-linked chicken regions using two alternative  
 15 approaches. First, we calculated

$$\log_2 \left( \frac{\text{female autosome} - \text{normalized depth}}{\text{male autosome} - \text{normalized depth}} \right)$$

16 per genomic window, where autosome-normalized depth is equal to the mean read depth per window  
 17 divided by the autosomal median read depth for each sex. We refer to this measure as ‘log<sub>2</sub>FM’. The  
 18 expectation for autosomes is Log<sub>2</sub>FM = 0. Z-linked sequences, on the other hand, are expected to have  
 19 Log<sub>2</sub>FM = -1 and W-linked sequences are expected to have Log<sub>2</sub>FM ~ 2. To accommodate variance in  
 20 read depths among genomic windows, we identified putative W-linked windows using a threshold of log<sub>2</sub>  
 21 FM ≥ 1. Second, we calculated the autosome-normalized proportion of female read depth per genomic  
 22 window. Each window with a female read depth proportion greater than the third quartile threshold plus  
 23 1.5 times the inter-quartile range (i.e., Q3 + 1.5\*IQR) was considered putatively W-linked. Each  
 24 approach had high power to detect W-linked genomic windows (log<sub>2</sub>FM power = 0.954; Q3 + 1.5\*IQR  
 25 power = 0.97). Despite having slightly greater power, the Q3 + 1.5\*IQR method also suffered nearly an  
 26 order of magnitude higher false-positive rate (log<sub>2</sub>FM false-positive rate = 0.0049; Q3 + 1.5\*IQR false-  
 27 positive rate = 0.025). Based on the mapping experiment in chicken, we used the more conservative  
 28 log<sub>2</sub>FM threshold to identify W-linked scaffolds in the prairie rattlesnake. We calculated log<sub>2</sub>FM per  
 29 female scaffold, identified putative W-linked sequences using the Log<sub>2</sub>FM ≥ 1 threshold, then cross-

1 referenced these with our list of putative sex-linked scaffolds from the initial homology procedure using  
2 the male reference genome. This yielded 2,139 female scaffolds with overlapping evidence of W-linkage  
3 (Table 1).

#### 4 Annotation of the W chromosome

5 We annotated repeat elements and protein coding genes to facilitate comparative analyses of sex-linked  
6 genes and to characterize the composition and structure of the W chromosome. Repeat elements were  
7 annotated using RepeatMasker v4.0.8 (Smit et al. 2015), leveraging a Bov-B/CR1 LINE homology  
8 database generated using multiple squamate genomes (Pasquesi et al. 2018), tetrapod elements included  
9 in RepBase release 20181026 (Bao et al. 2015), and known and unknown consensus elements from a  
10 snake-specific repeat library (Castoe et al. 2013; Pasquesi et al. 2018; Schield et al. 2019).

11 We used MAKER v2.31.10 (Cantarel et al. 2008) to produce an initial annotation of protein-coding genes  
12 in W-linked scaffolds using empirical evidence for gene prediction (settings `est2genome=1` and  
13 `protein2genome=1`). Empirical evidence included the *de novo* transcriptome assembly used in the male  
14 prairie rattlesnake genome assembly (Schield et al. 2019) combined with a *de novo* transcript assembly  
15 derived from 18 tissues from female prairie rattlesnakes (Supplementary Table S2). Tissue samples were  
16 collected and immediately snap frozen in liquid nitrogen and stored at  $-80^{\circ}\text{C}$ . Total RNA was extracted  
17 using Trizol and poly-A selected mRNA libraries were generated and sequenced on an Illumina HiSeq  
18 2500 using 100 bp paired-end reads. Reads were randomly subsampled using the sample tool in seqtk  
19 v1.3-r106 ([www.github.com/lh3/seqtk](http://www.github.com/lh3/seqtk)) to retain two million reads per sample. We then generated the  
20 transcriptome assembly using Trinity release 2014-07-17 (Grabherr et al. 2011) with the `--trimmomatic`  
21 flag to incorporate upfront quality trimming by Trimmomatic (Bolger et al. 2014) with default settings.

22 The *de novo* transcriptomes were supplied to the argument ‘`est`’, along with protein datasets for all  
23 annotated protein-coding genes of *Anolis carolinensis* (Alfoldi et al. 2011), *Python molurus bivittatus*  
24 (Castoe et al. 2013), *Thamnophis sirtalis* (Perry et al. 2018), *Ophiophagus Hannah* (Vonk et al. 2013),  
25 and *Deinagkistrodon acutus* (Yin et al. 2016) supplied to the argument ‘`protein`’. An initial round of  
26 MAKER was run with default settings, except that we specified `max_dna_len=300000` and  
27 `split_hit=20000`. The resulting 386 gene models were used to optimize gene prediction parameters in  
28 Augustus v3.2.3 (Stanke and Morgenstern 2005), which we used for gene prediction in a second run of  
29 MAKER that was identical to the first, except for specifying `est2genome=0` and `protein2genome=0` and  
30 with Augustus parameters supplied to the ‘`augustus_species`’ setting. The resulting annotation contained  
31 213 protein-coding gene models (Table 1).

1 We further used transcript data to improve the contiguity of the W chromosome assembly. We gathered  
2 the existing assembly together with gene models from MAKER in GFF3 format and mapped all female  
3 RNAseq read data used in the *de novo* transcriptome using default settings in BWA mem (Li and Durbin  
4 2009). These data were then analyzed using AGOUTI v. 0.3.3-dirty (Zhang et al. 2016) with default  
5 settings except for minMQ=20 and maxFracMM=0.05. AGOUTI further scaffolded 206 of the 2,139 W  
6 chromosome scaffolds into 94 final scaffolds, resulting in a final assembly of 2,027 scaffolds with an N50  
7 = 13,252 bp. We again performed repeat and gene annotations following the same procedures as above,  
8 resulting in 219 protein models after the second run of MAKER (Table 1). We ascribed gene IDs based  
9 on homology for 134 of these gene models using a reciprocal best BLAST (Altschul et al. 1990; with an  
10 e-value threshold of  $1 \times 10^{-5}$ ) and stringent one-way BLAST (with an e-value threshold of  $1 \times 10^{-8}$ )  
11 searches against protein sequences from NCBI for *Anolis*, *Python*, and *Thamnophis*.

## 12 Sex chromosome homology and identification of evolutionary strata

13 Previous investigations have hypothesized the presence of at least two evolutionary strata between Z and  
14 W chromosomes in caenophidian snakes (Vicoso et al. 2013; Yin et al. 2016; Schield et al. 2019).  
15 Comparisons of Z and W-linked gametologs in the context of the Z chromosome assembly for prairie  
16 rattlesnake provide the opportunity to examine the structure, age, and number of evolutionary strata in  
17 greater detail. To establish the structure of the assembled W chromosome in relation to the Z  
18 chromosome, we used MashMap (Jain et al. 2018) to anchor W-linked scaffolds with sufficient sequence  
19 similarity to homologous regions of the Z chromosome. We specified ‘-f one-to-one’ to limit matches to  
20 reciprocal best hits, specified a minimum search length of 5 kb, and filtered hits with lower than 90%  
21 sequence similarity.

22 We identified ZW gametologs using tBLASTx (Altschul et al. 1990) searches between annotated coding  
23 sequences (CDS) with the setting ‘-max\_hsps 1’ and an e-value threshold of  $1 \times 10^{-5}$ . We then extracted  
24 reciprocal best BLAST hits as 1:1 gametologs, yielding 125 1:1 gametolog pairs. We translated  
25 nucleotide sequences for each pair and aligned amino acid sequences using Clustal Omega (Sievers et al.  
26 2011), then used PAL2NAL (Suyama et al. 2006) to generate codon-based nucleotide alignments. We  
27 estimated synonymous ( $d_S$ ) and nonsynonymous ( $d_N$ ) divergence between gametologs using the codon  
28 model in PAML (Yang 2007), and filtered pairs with  $d_S$  above 2 and below 0.001. To transform  
29 synonymous divergence estimates to divergence times, we calculated a lineage-specific mutation rate  
30 based on divergence between *Crotalus* and *Anolis* autosomal genes. We identified orthologous genes  
31 using a reciprocal best BLAST search, which yielded 11,277 1:1 autosomal orthologs. We aligned  
32 orthologs and calculated  $d_S$  as described above, then obtained a mutation rate estimate by dividing median

1  $d_S$  (0.94) by 167 million years, the estimated divergence time for *Crotalus* and *Anolis* from TimeTree  
2 (Kumar et al. 2017), yielding a lineage-specific autosomal mutation rate of  $2.8 \times 10^{-9}$  per site per year. To  
3 account for sex-linked mutational biases, we multiplied this rate by the Z chromosome:autosome mutation  
4 rate ratio ( $\mu_Z/\mu_A$ ) of 1.1 estimated in Schield et al. (2021). The W chromosome is present only in females  
5 and therefore has a female-specific mutation rate. While this rate is unknown in rattlesnakes, estimates of  
6 the male to female mutation rate ratio in birds, which have similar estimates of male-biased mutation rates  
7 to snakes (Vicoso et al. 2013; Schield et al. 2021), range from two to four (Nam and Ellegren 2008). To  
8 accommodate female-specific mutation on the W chromosome, we conservatively used a female mutation  
9 rate equal to one-fourth of the autosomal rate, adding this to the inferred rate for the Z chromosome to  
10 produce a combined sex-linked divergence rate ( $3.1 \times 10^{-9} + 0.7 \times 10^{-9} = 3.8 \times 10^{-9}$ ). We transformed  $d_S$   
11 estimates for ZW gametologs to time using this rate, then calculated the mean divergence time per  
12 stratum. For comparison, and to obtain a range of approximate divergence times per stratum, we repeated  
13 this procedure using a squamate mutation rate derived from four-fold degenerate sites ( $2.4 \times 10^{-9}$ ; Green  
14 et al. 2014), which, after conversion to the sex-linked divergence rate was equal to  $3.24 \times 10^{-9}$ .

15 We investigated whether the recent stratum is present in non-pitviper colubroids by mapping female and  
16 male western terrestrial garter snake (*Thamnophis elegans*, a colubrid) read data (Vicoso et al. 2013;  
17 Supplementary Table S1) to the prairie rattlesnake genome using bwa mem v0.1.17 (Li and Durbin 2009)  
18 after quality filtering reads using Trimmomatic v0.39 (Bolger et al. 2014) with settings described for  
19 other analyses above. We removed mapped reads with quality scores lower than Q30 using Samtools v1.1  
20 (Li et al. 2009) and quantified read depths in 10 kb sliding windows using Mosdepth (Pedersen and  
21 Quinlan 2018). We calculated  $\log_2$ FM per window on the Z chromosome based on median autosomal  
22 read depths per sex, following our methods used for discovery of sex-linked genomic regions. We  
23 repeated these steps using resequencing data from three pitviper species: five-pace viper  
24 (*Deinagkistrodon acutus*; Yin et al. 2016), pygmy rattlesnake (*Sistrurus miliarius*; Vicoso et al. 2013),  
25 and prairie rattlesnake (Supplementary Table S1). If recombination suppression in the recent stratum  
26 predates the common ancestor of the garter snake and pitvipers,  $\log_2$ FM would be intermediate between -  
27 1 and 0 in this region, corresponding to expectations for Z-linked and autosomal regions, respectively.  
28 Alternatively,  $\log_2$ FM values consistently near -1 would indicate that garter snake sex chromosomes  
29 experienced recombination suppression in this region independently of pitvipers.

### 30 GC and repeat content on the W chromosome

31 We measured GC content, CpG content, and repeat content on the W chromosome using custom Python  
32 scripts ([https://github.com/drewschield/rattlesnake\\_w\\_chromosome](https://github.com/drewschield/rattlesnake_w_chromosome)). GC content was measured as the



1 proportion of G and C nucleotides per scaffold after removal of ambiguous bases. Similarly, CpG content  
 2 was measured as the proportion of CG dinucleotides, and repeat content was measured as the proportion  
 3 of bases per scaffold annotated as repeats. We also measured GC and CpG content on the prairie  
 4 rattlesnake Z chromosome and autosomes and across the genomes of chicken (*Gallus gallus* version  
 5 GRCc6a; Hillier et al. 2004; Bellott et al. 2017), zebra finch (*Taeniopygia guttata* version  
 6 bTaeGut2.pat.W.v2; Warren et al. 2010), human (*Homo sapiens* version GRCh38.p13; Venter et al. 2001)  
 7 and house mouse (*Mus musculus* version GRCm39; Waterston et al. 2002) in 10 kb windows. The  
 8 reference genomes for each of these species include representative assembled scaffolds for Z(X) and  
 9 W(Y) sex chromosomes.

10 Measures of repeat content were based on the repeat annotation described above, and we used the CpG-  
 11 corrected Kimura 2-parameter distance as a measure of the age distribution for specific element families.  
 12 Several TE families were abundant on the W chromosome, including multiple LTR retrotransposons –  
 13 mdg4, L1 LINEs, CR1/L3 LINEs, and ERVs (see Results). In addition to total repeat content, we  
 14 measured the proportion of bases from these TE families per W-linked scaffold, and in 10 kb windows on  
 15 the Z chromosome and autosomes. We compared GC and CpG content of mdg4, L1, and CR1/L3  
 16 elements to their densities on the autosomes, Z chromosome, and W chromosome, and examined the  
 17 distributions of GC and CpG content for each of these families on the W chromosome.

## 18 Analysis of retroelements

19 We compared the frequencies of repeat elements on autosomes and the sex chromosomes to test the  
 20 hypothesis that there is a uniform distribution of repeats across the genome. Following Peona et al.  
 21 (2021), we first determined the expected repeat-derived bp by assuming that the total length of repeats is  
 22 proportional to the total length of autosomes, Z, and W chromosomes after removing ambiguous bases.  
 23 We then compared observed values to the expected values to calculate the refugium index for each  
 24 chromosome class using the formula:

$$25 \text{ Refugium Index} = \frac{\%TE_{obs} - \%TE_{exp}}{\%TE_{exp}}.$$

26 The refugium index provides information on whether the autosomes and sex chromosomes have a  
 27 depletion (refugium index < 0) or excess (refugium index > 0) of repeat elements compared to a uniform  
 28 distribution. We tested for significant deviation from a uniform distribution using  $\chi^2$  tests. We repeated

1 these analyses for specific abundant retroelements on the W chromosome (i.e., mdg4, ERVs, L1 LINES,  
2 CR1/L3 elements).

3 To compare frequencies of long terminal repeat (LTR) retroelements capable of self-replication across the  
4 genome, we identified full-length elements with intact LTR and protein domains (fl-LTRs) using  
5 LTRharvest (Ellinghaus et al. 2008) and LTRdigest (Steinbiss et al. 2009). We filtered results using Pfam  
6 (Mistry et al. 2021) and GyDB (Llorens et al. 2010) hidden Markov model profiles for LTR  
7 retrotransposon proteins. We then compared observed and expected numbers of fl-LTRs to calculate the  
8 refugium index for autosomes and the sex chromosomes.

9 To examine evidence of sex differences in toxicity on the basis of homogametic and heterogametic  
10 linkage of fl-LTRs, we calculated the toxicity index as defined in Peona et al. (2021):

$$11 \quad \text{Toxicity index} = \frac{2n_{\text{het}} - 2n_{\text{hom}}}{2n_{\text{hom}}}$$

12 Here,  $2n_{\text{het}}$  is equal to the number of diploid fl-LTRs in the heterogametic sex (i.e.,  $2 \times$  autosomes +  $1 \times$   
13  $Z + 1 \times W$ ) and  $2n_{\text{hom}}$  equals the number in the homogametic sex ( $2 \times$  autosomes +  $2 \times Z$ ). An index  
14 equal to 0 indicates no sex bias in toxicity, whereas a toxicity index  $> 0$  indicates female-biased toxicity  
15 and  $< 0$  indicates male-biased toxicity in the case of ZW snakes.

## 16 Gene expression and characterization of W-linked genes

17 We quantified gene expression of W-linked genes, with the expectation that genes that have remained  
18 functional since recombination suppression are expressed in female tissues. We mapped mRNA-seq  
19 datasets for ovary and testes (NCBI SRA accession PRJNA477004) and for two male and female liver  
20 and kidney samples (10 samples total; Supplementary Table S2) to the updated genome annotation using  
21 STAR v2.7.1a (Dobin et al. 2013) with the flags `--outFilterMultimapNmax 1`, `--outFilterMismatchNmax`  
22 `1`, `--outFilterMismatchNoverLmax 0.1`, and `--twoPassMode Basic`, then quantified raw read counts using  
23 `featureCounts v2.0.1` (Liao et al. 2013). Raw counts from `featureCounts` were used to assess whether  
24 genes on the W chromosome had “detectable” expression in female and male samples, defined as a raw  
25 count  $> 0$  in at least one sample of a given sex. Genes with detected expression in female samples and no  
26 detected expression in male samples were considered to have female-specific expression. To further  
27 assess female-specific expression, we performed pairwise comparisons between female and male samples  
28 using DEseq2 v1.30.1 (Love et al. 2014) and conducted  $p$ -value correction using IHW v1.18.0 (Ignatiadis  
29 et al. 2016) with baseMean expression as the covariate. Female-specific genes were defined as those with

1 significant differential expression (IHW  $p$ -value  $< 0.05$ ) and higher expression in female samples  
2 compared to males (i.e.,  $\log_2\text{FoldChange} > 0$ ). TPM-normalized counts were calculated in R and averaged  
3 across males and females to provide representative expression values for each sex. Heatmaps were  
4 generated using pheatmap v1.0.12 (Kolde 2012) in R.

5 We functionally annotated genes on the W chromosome using gene ontology (GO) term analysis in  
6 WebGestaltR (Liao et al. 2019; <https://github.com/bzhanglab/WebGestaltR>), with W-linked genes as the  
7 foreground and annotated genes on other chromosomes as the background gene set. Using the  
8 overrepresentation analysis method, we selected the top 20 overrepresented terms from combined non-  
9 redundant biological process, cellular component, and molecular function GO-term databases, and a  
10 combined KEGG (Kanehisa and Goto 2000), Panther (Mi and Thomas 2009; Mi et al. 2021), Reactome  
11 (Jassal et al. 2020), and Wikipathway (Martens et al. 2021) pathway database. We tested for enrichment  
12 of W-linked genes for specific terms using Fisher's exact tests and defined significantly overrepresented  
13 terms as those with  $p$ -values below 0.05 after FDR correction for multiple tests. We characterized protein  
14 classes for W-linked genes using PantherDB (Mi et al. 2019). This method was also used to characterize  
15 W-specific duplicated and translocated genes described below.

## 16 Analysis of W-linked genes: survival, duplication, selection, and gene conversion

17 Previous evidence suggests disproportionate survival of W-linked genes in the recent stratum (Schield et  
18 al. 2019). To test this hypothesis, we calculated a decay rate for stratum 1 (i.e., older strata) by subtracting  
19 the number of W genes from the number of Z genes present in stratum 1, divided by the inferred  
20 divergence time for the stratum. We compared this rate to one for the recent stratum to test whether genes  
21 have decayed at similar rates in the time since recombination suppression in both strata. To test for  
22 evidence of autosome-to-W chromosome translocations, we used a reciprocal best BLAST between W  
23 chromosome and *Anolis* CDS sequences. *Anolis* chromosome 6 is homologous to the colubroid ZW  
24 chromosomes, therefore we retained any hits to other chromosomes that also did not have a matched Z  
25 chromosome gametolog as candidate autosomal translocations.

26 We tested for W-specific gene duplications by mapping female resequencing data ( $n = 4$ ; Supplementary  
27 Table S1; Schield et al. 2021, 2022) to autosomes, the Z chromosome, and the W chromosome using  
28 BWA mem v0.7.17 (Li and Durbin 2009), after quality filtering reads using Trimmomatic v0.39 (Bolger  
29 et al. 2014) with settings described above. We calculated mean read depth per gene (within exon  
30 boundaries) using Mosdepth (Pedersen and Quinlan 2018) and determined the median among autosomal  
31 genes per female. We then quantified relative W-linked gene read depth by dividing mean depth per gene

1 by the autosomal median in each female after accounting for haploidy of the W chromosome. This  
2 provided relative depths per gene scaled to a value of 1. We inferred copy-number as the mean of relative  
3 depth values observed across females per W-linked gene.

4 We compared rates of sequence divergence between prairie rattlesnake and five-pace viper Z and W  
5 chromosome orthologs, respectively, following the procedure described above for prairie rattlesnake ZW  
6 gametologs. Briefly, we identified sex-linked orthologs between the two species, then aligned orthologs  
7 using Clustal Omega (Sievers et al. 2011) and PAL2NAL (Suyama et al. 2006). We used the codon  
8 model in PAML (Yang 2007) to estimate  $d_N$ ,  $d_S$ , and  $d_N/d_S$  separately for the Z chromosome and W  
9 chromosome. We compared rates of nonsynonymous and synonymous evolution between the sex  
10 chromosomes to detect whether expected differences in the efficiency of natural selection are present on  
11 the female-specific W chromosome. Cases of gene conversion within genes on sex-limited chromosomes  
12 have been documented (e.g., Backström et al. 2005), along with an associated increase in GC bases due to  
13 GC-biased gene conversion. To test for evidence of gene conversion within W-linked genes (i.e.,  
14 intrachromosomal recombination), we compared the proportion of GC bases at third codon positions  
15 (GC3) between matched Z and W gametologs in the prairie rattlesnake.

## 16 Statistical analysis

17 We performed statistical analyses comparing distributions of genomic variation and divergence in R (R  
18 Core Team 2017). Prior to performing pairwise comparisons between variables, we tested for normality  
19 of  $d_S$ ,  $d_N/d_S$ , GC content, CpG content, repeat content (including specific repeat element families), and  
20 GC3 distributions using Shapiro-Wilk tests. We further evaluated homogeneity of variances between  
21 distributions using Levene's tests. All distributions rejected normality ( $p$ -values  $< 0.05$ ) with the  
22 exception of GC3. Accordingly, we tested for significant differences in pairwise comparisons of  $d_S$ ,  $d_N/d_S$ ,  
23 GC content, CpG content, and repeat content distributions on the sex chromosomes and autosomes using  
24 Mann-Whitney U tests and tested for associations between variables using Spearman's rank order  
25 correlation coefficients. We calculated partial correlation coefficients between GC content, CpG content,  
26 and repeat content while controlling for correlation among sets of variables using the 'ppcor' R package  
27 (Kim 2015). Because GC3 distributions were not significantly different from a normal distribution, we  
28 performed pairwise comparisons using Welch's two-sample  $t$ -tests. All distributions supported the  
29 hypothesis of equal variances (i.e., Levene's test  $p$ -values  $> 0.05$ ) with the exception of  $d_S$  estimates  
30 between ZW gametologs among inferred evolutionary strata (see Results).

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8 Care and Use Committee (IACUC) protocols 0901C-SM-MLChick-12 and 1302D-SM-S-16.

## 9 Data Availability

10 The W chromosome assembly generated in this study is available from NCBI Genbank under accession  
11 PRJNA853338. RNAseq data generated in this study are available from the NCBI short-read archive  
12 under accession PRJNA477004. Gene and repeat annotations are available at  
13 [https://github.com/drewschiold/rattlesnake\\_w\\_chromosome/resources/annotation](https://github.com/drewschiold/rattlesnake_w_chromosome/resources/annotation). The computational  
14 workflow and analysis scripts are available at  
15 [https://github.com/drewschiold/rattlesnake\\_w\\_chromosome](https://github.com/drewschiold/rattlesnake_w_chromosome).

16

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24

1 Tables

2 **Table 1.** W chromosome assembly and annotation statistics.

Assembly	10X Supernova	10X Supernova + Agouti
Number of scaffolds	2,139	2,027
Scaffold N50 (bp)	12,080	13,252
Longest scaffold (bp)	62,716	153,158
Annotated genes	213	219

3

4 **Table 2.** Refugium index (RI) for transposable element classes on the autosomes, Z chromosome, and W  
 5 chromosome.  $\chi^2$  tests were used to test for deviations from uniform expectation.

Element	Autosome RI	Z chromosome RI	W chromosome RI	$\chi^2$
Total repeats	-4.375	21.439	107.815	14,226,220***
ERVs	-42.164	69.973	1664.497	8,724,788***
mdg4	-24.472	87.749	750.403	17,352,014***
L1 LINEs	-16.849	70.555	470.236	6,583,173***
CR1 LINEs	-0.6362	5.9233	2.842	14,663***
fl-LTRs	-4.09	28.895	60.402	1,604,306***

6 \*\*\*  $p$ -value  $< 2.2 \times 10^{-16}$ ; ERVs = endogenous retroviruses; fl-LTRs = full-length LTR retrotransposons.  
 7



## 1 Figure Captions

2 **Figure 1.** Genetic sex determination in squamates, homology between prairie rattlesnake Z and W  
 3 chromosomes and the presence of evolutionary strata. **A.** Phylogeny of representative snake species and  
 4 *Anolis* outgroup with known (or presumed) genetic sex determination systems. Henophidian species are  
 5 highlighted in grey and caenophidian species are highlighted in blue. The bracketed arrow indicates  
 6 species in the Colubriiformes subclade of Caenophidia. Branches are scaled by divergence times in  
 7 millions of years. **B.** Locations of homologous W chromosome scaffolds (blue) and annotated coding  
 8 genes (i.e., ZW gametologs; orange) on the Z chromosome. The differently shaded sections of the Z  
 9 chromosome ideogram represent distinct regions identified in Schield et al. (2019); older strata (green),  
 10 RS = recent stratum (turquoise), PAR = pseudoautosomal region (grey). The approximate location of the  
 11 centromere is shown in grey. **C.** Synonymous divergence ( $d_s$ ) between Z and W gametologs anchored to  
 12 Z chromosome positions. The three shaded regions correspond to spatial clusters of gametologs with  
 13 similar levels of divergence. **D.** Distributions of  $d_s$  by cluster with assignment to hypothesized  
 14 evolutionary strata. **E.** Schematic of the hypothesized order of recombination suppression events among  
 15 evolutionary strata between the Z and W chromosomes. The approximate dates for each event are based  
 16 on mean divergence estimates per stratum.

17 **Figure 2.** Relative female coverage across the Z chromosome in four colubroid snake species, including a  
 18 representative colubrid (western terrestrial garter snake) and three pitvipers (five-pace viper, pygmy  
 19 rattlesnake, and prairie rattlesnake). The phylogeny and divergence times for the four species and *Python*  
 20 are shown to the left, with the grey box denoting pitviper species. Shaded boxes depict approximate dates  
 21 for the formation of the older and recent strata. Panels to the right for each species show variation in the  
 22 normalized ratio of female:male sequencing read coverage ( $\log_2 FM$ ) in 10 kb sliding windows. Horizontal  
 23 dashed lines at 0 and -1 correspond to expectations for autosomal and Z-linked regions in females,  
 24 respectively, and the pseudoautosomal region (PAR) and evolutionary strata (RS = recent stratum) are  
 25 shaded according to the above Z chromosome ideogram. Distributions of  $\log_2 FM$  in each region are  
 26 summarized at the far right; points represent median  $\log_2 FM$  and whiskers represent  $Q1-1.5*IQR$  and  
 27  $Q3+1.5*IQR$ . Images were modified from photographs from: Steve Jurvetson (garter snake), Alex White  
 28 (five-pace viper), Peter Paplanus (pygmy rattlesnake), and Blair Perry (prairie rattlesnake) under Creative  
 29 Commons license CC BY-NC-SA 2.0.

30 **Figure 3.** Composition of the W chromosome and comparison with other amniotes. **A.** Distributions of  
 31 GC content on autosomes, Z(X), and W(Y) chromosomes of the prairie rattlesnake and bird and mammal  
 32 species. **B.** Distributions of CpG content on autosomes, Z(X), and W(Y) chromosomes. **C.** Age  
 33 distribution of TEs on the W chromosome. Shaded bars represent the proportions of TE families with

1 increasing age (i.e., % Kimura substitution level). Mdg4 elements are denoted with a '\*'. **D.** Proportions  
 2 of autosomes, Z, and W chromosomes annotated as repeats. **E.** Proportions of autosomes, Z, and W  
 3 chromosomes annotated as mdg4 LTR elements. **F.** Positive relationship between percent mdg4 elements  
 4 and GC content across W chromosome scaffolds. **G.** No correlation between percent total repeats  
 5 (excluding mdg4 elements) and GC content across W chromosome scaffolds.

6 **Figure 4.** Refugium indexes for the autosomes, Z, and W chromosomes for total repeats (**A**) and ERV  
 7 (**B**), mdg4 (**C**), L1 LINE (**D**), and CR1 LINE (**E**) retroelements, calculated based on observed an expected  
 8 frequencies of repeat bp on the autosomes and sex chromosomes, assuming a uniform distribution. Bar  
 9 plots in the top of each panel show calculated refugium index values; refugium index > 0 indicates an  
 10 excess of repeats; refugium index < 0 indicates depletion of repeats relative to a uniform distribution. All  
 11 autosomal refugium indices are negative. Pie charts at the bottom of each panel show the proportions of  
 12 autosomal, Z, and W chromosome bp in the analyzed genome assembly compared to proportions of bp for  
 13 each repeat element category.

14 **Figure 5.** Gene expression and functional characterization of genes on the W chromosome. **A.** Log<sub>10</sub>-  
 15 normalized TPM expression counts for ovary, kidney, and liver in females are compared to testes, kidney,  
 16 and liver in males. Female and male average expression values are displayed in the center. Bars at the  
 17 right denote genes within the recent and older evolutionary strata. Genes with female-biased expression  
 18 are denoted with red dots to the left of the heatmap. **B.** Gene ontology categories (biological processes  
 19 and cellular components) that comprise W-linked genes. Terms denoted with asterisks are significantly  
 20 enriched for W-linked genes after FDR correction. **C.** Panther protein classification for W-linked genes.

21 **Figure 6.** W-specific gene duplications, sex-linked divergence between pitviper species, and GC content  
 22 at third codon positions (GC3) in rattlesnake ZW gametologs. **A.** Estimated copy number for W-linked  
 23 genes derived from comparisons with autosomal gene coverage, with points placed according to their  
 24 position on the Z chromosome. The shaded regions correspond to strata 1 (older) and 2 (recent). Note that  
 25 the y-axis is not continuous to display genes with high estimated copy number. Points for the genes with  
 26 evidence of two or more copies are labeled. Gene names appearing more than once had multiple  
 27 annotated positions on W-linked scaffolds. Genes without an identified 1:1 Z gametolog are labeled 'UN'  
 28 on the x-axis. **B.** Distributions of  $d_N/d_S$  between prairie rattlesnake and five-pace viper Z and W orthologs  
 29 in stratum 1 and 2. **C.** Distributions  $d_S$  between prairie rattlesnake Z and W orthologs. **D.** GC3 content of  
 30 ZW gametologs in prairie rattlesnake. The scatterplot to the left shows the relationship between GC3 for  
 31 Z and W gametologs. The panels to the right show GC3 content for W and Z gametologs, respectively,  
 32 based on Z chromosome position.

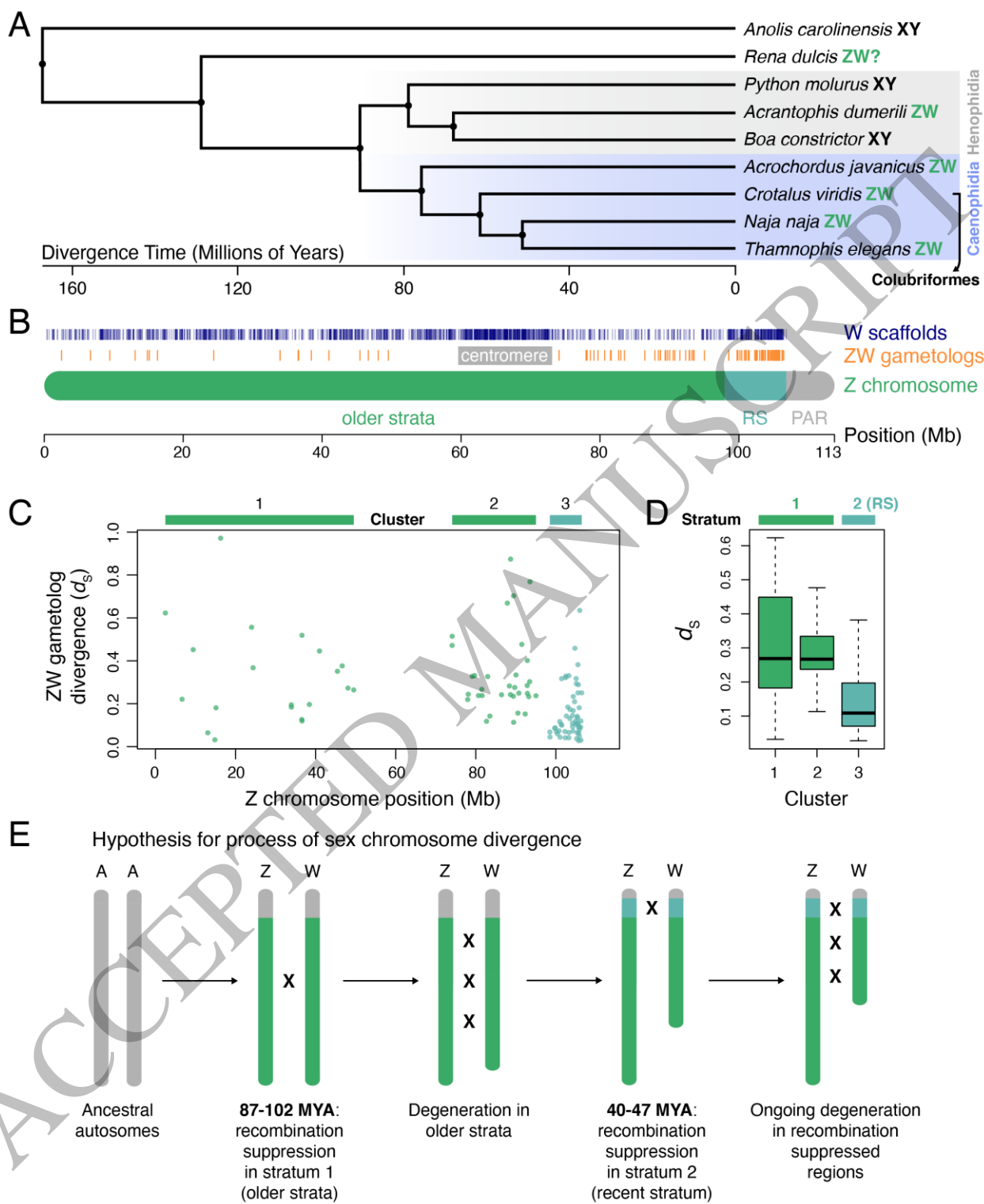


Figure 1  
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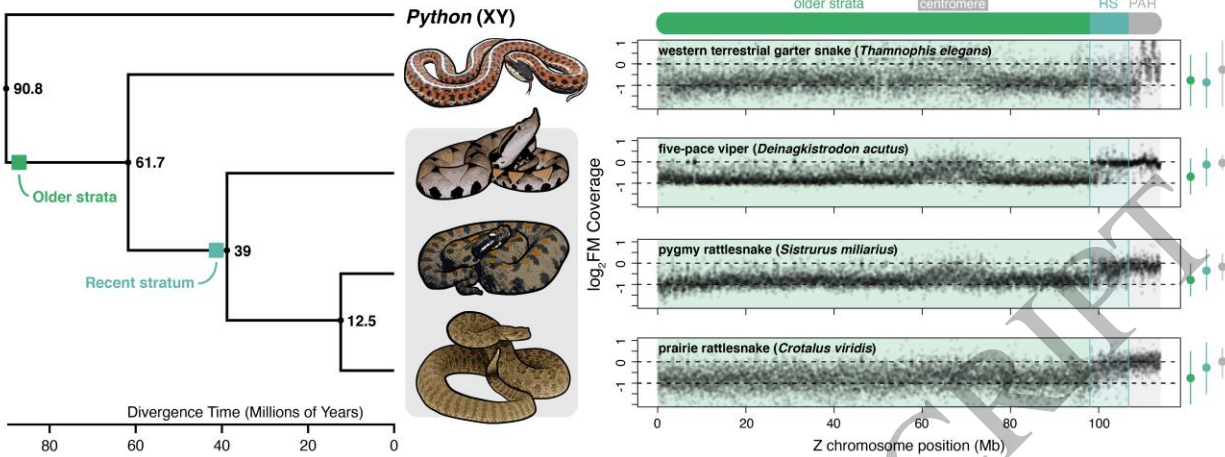


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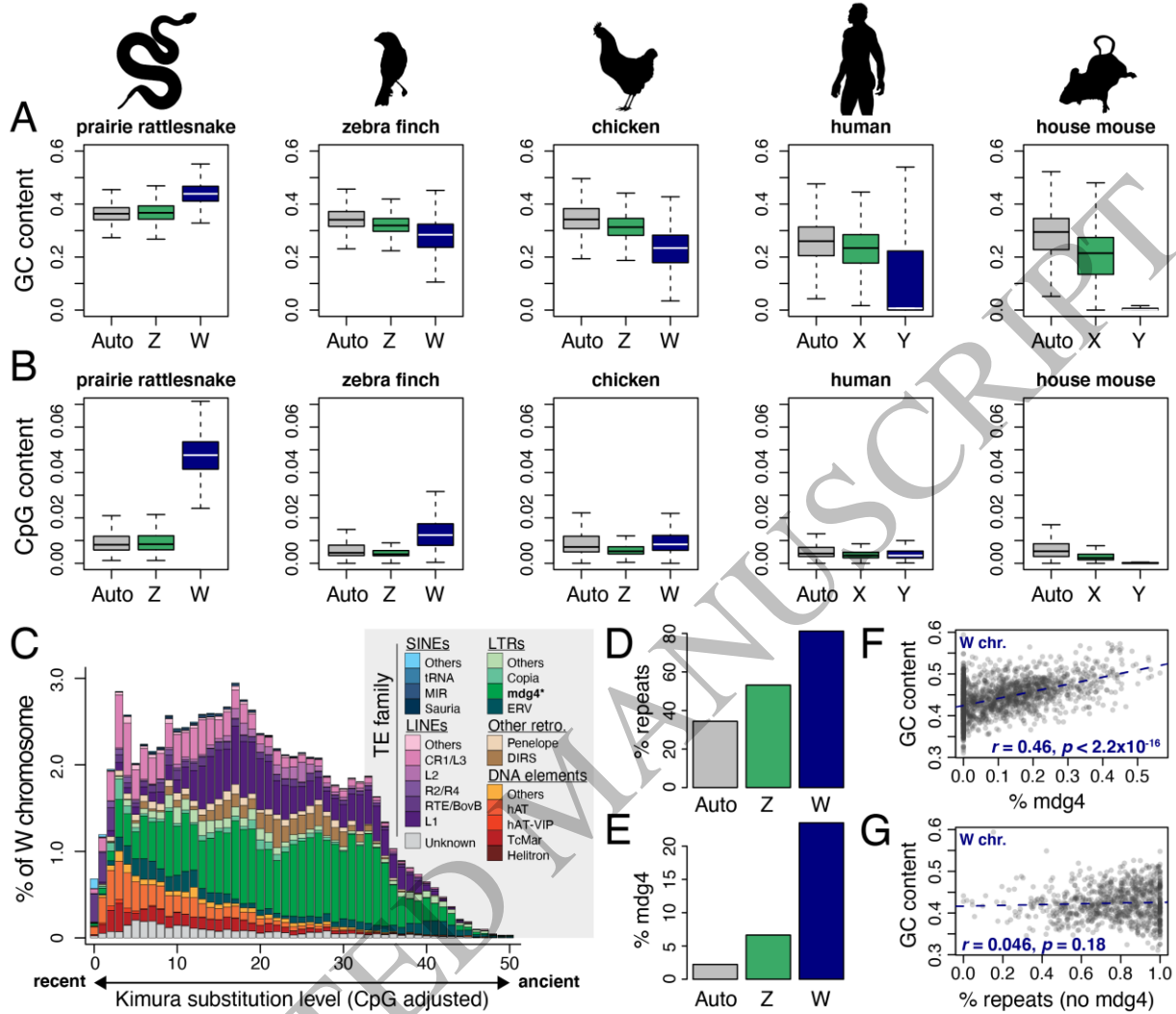
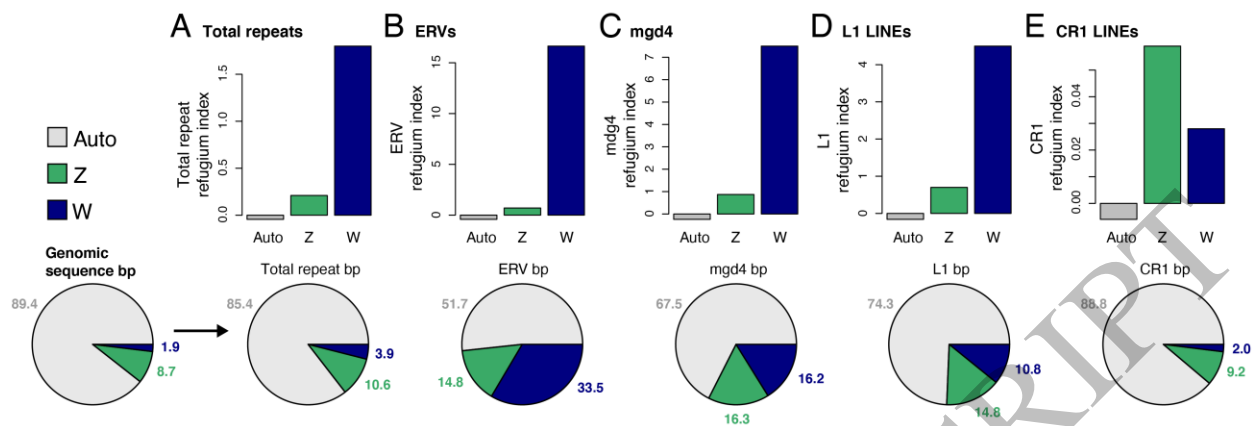


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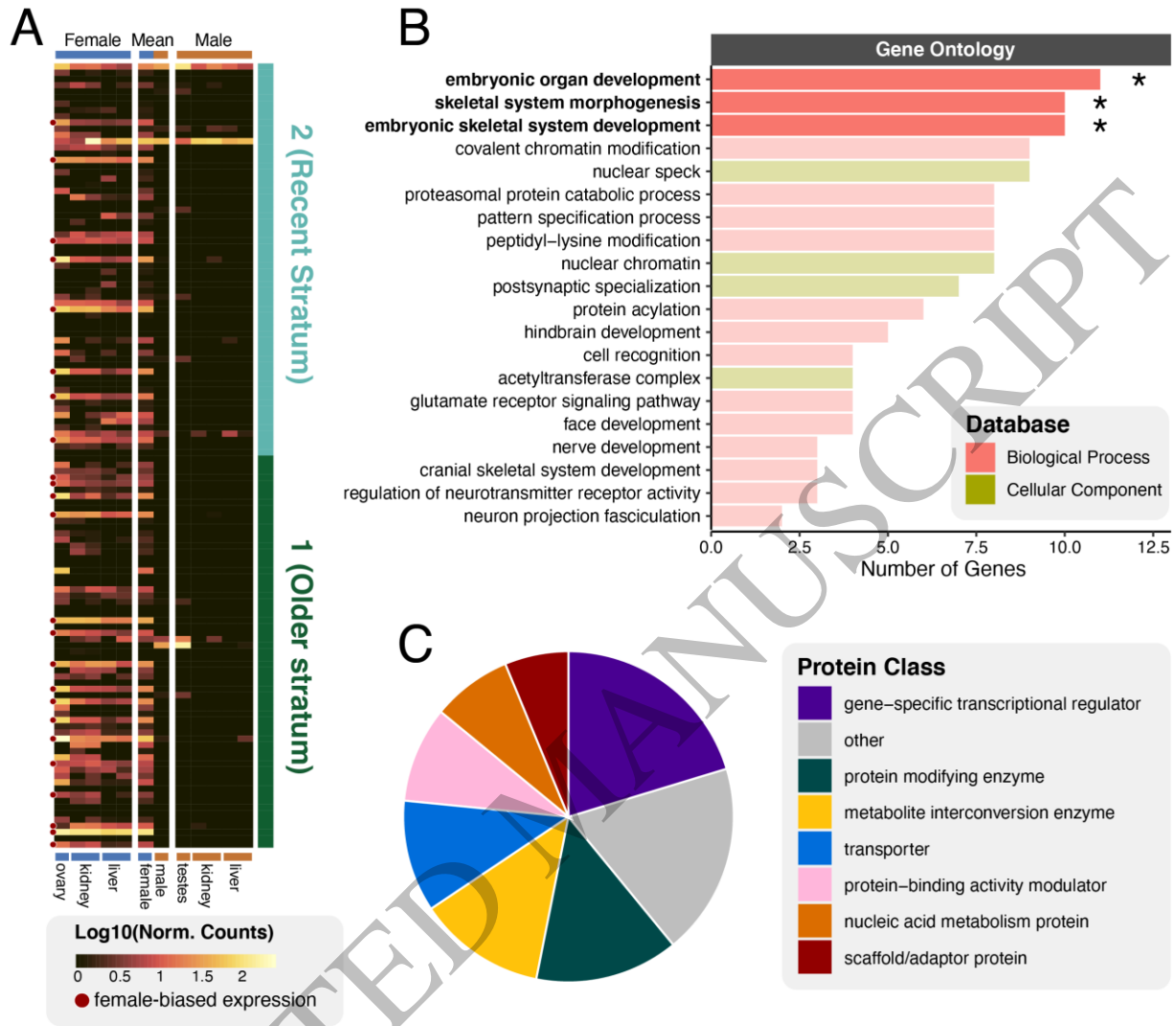
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**Figure 4**  
165x56 mm (.57 x DPI)

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ACCEPTED MANUSCRIPT



**Figure 5**  
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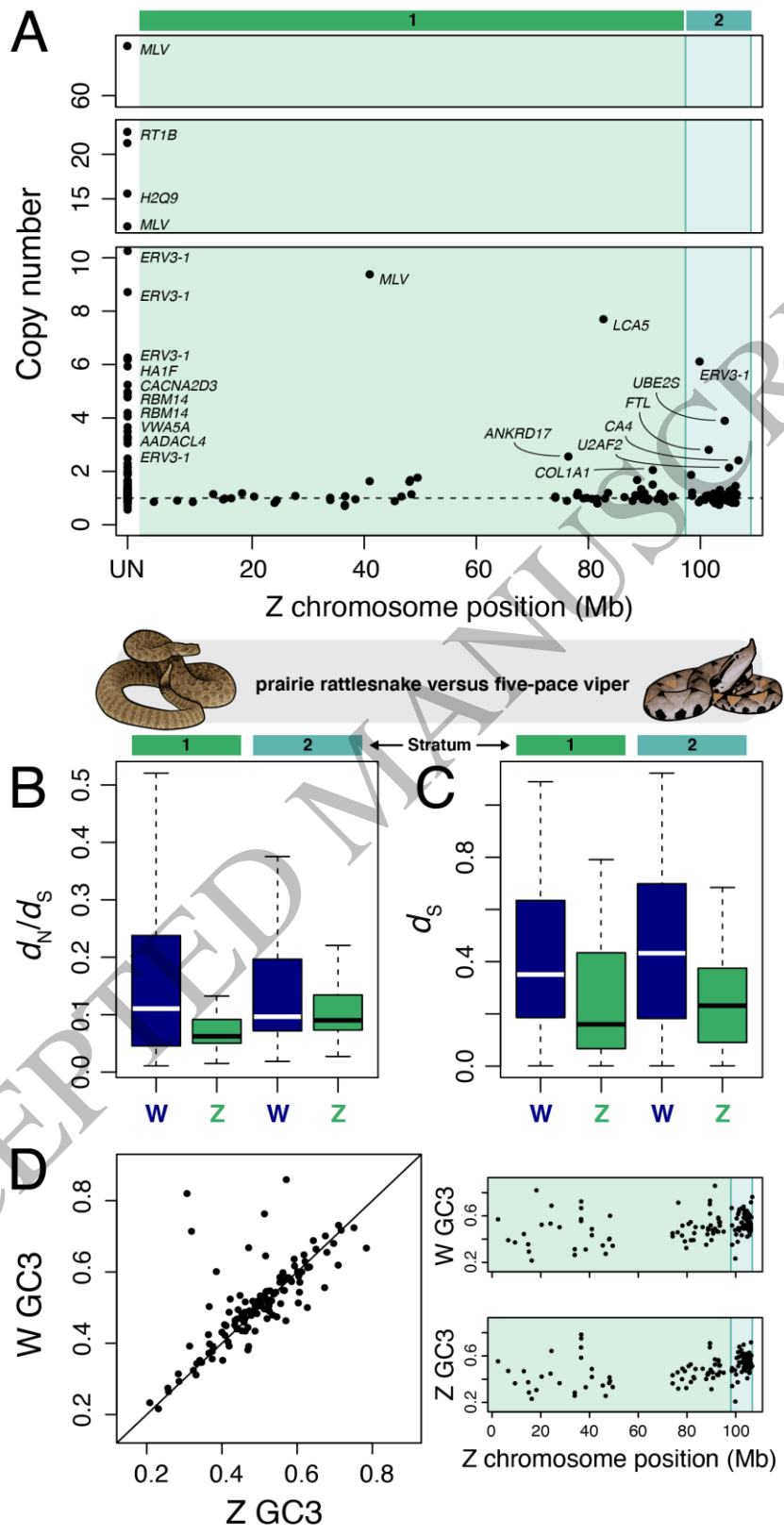


Figure 6  
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